

12-24-03

PCT # 5

Rec'd PCT/PTO 23 DEC 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **BOND, Eugene Thomas**
Title : **Software Verification and Authentication**
Application No. : **09/720,042**
Filed : **Dec. 15, 2000**
PCT No. : **PCT/AU99/00486**
Int. Filing Date : **June 17, 1999**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 pursuant to 37 CFR 1.10 on the date shown below as

Express Mail Receipt No. **EU 834833654 US**

Name: **Jean A. Burns**

Signature: *Jean A. Burns*

Date: **12/23/03**

Mail Stop: Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Renewed Petition Under 37 CFR § 1.137(b)

To the Commissioner for Patents:

The above-referenced national stage application became abandoned for failure to submit an acceptable oath or declaration within the time period set forth in the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (Form PCT/DO/EO/905) mailed on January 25, 2001. On July 23, 2002, Applicant filed a "Petition to Revive an Abandoned Application under 37 CFR 1.137(b)" and "Response to Notification of Missing Requirements under 35 U.S.C. 371" and "petition under 37 CFR 1.183 to Suspend the Rules." Applicant's petition under 37 CFR 1.137(b) and applicant's petition under 37 CFR 1.183 were both dismissed without prejudice. On July 28, 2003, Applicant filed a

renewed “Petition to Revive an Abandoned Application under 37 CFR 1.137(b)” along with a “Petition under 37 CFR 1.47(b).”

Examiner rejected the petition to revive based on a finding that item (1) of 1.137(b) had not been satisfied. Specifically, the examiner found that a more detailed explanation of the delay was required before the petition could be granted. Applicant respectfully disagrees with this determination.

It is respectfully noted applicant’s petition for revival of the current application pursuant to 37 CFR 1.137(b) should be reviewed at a less burdensome standard than a petition to revive filed pursuant to 37 CFR 1.137(a). *See MPEP §711.03(c) (Rev. 1, Feb. 2003)* Although the *Manual of Patent Examining Procedure* (MPEP) does not set forth a specific standard of review for petitions filed under 37 CFR 1.137(b), the MPEP does indicate that “Petitions under 37 CFR 1.137(b) are less burdensome (statement(s) rather than a showing accompanied by documentary evidence) to file and are evaluated under the less stringent “unintentional delay standard.” *Id.* In addition, it has been similarly adjudicated that a petition filed under 37 CFR 1.137(b) was intended to provide for “a much less strict standard” than had otherwise been available. *See. In re Bryan*, 2 USPQ2d 1215, 1218 (Dep. Ass’t Comm’r 1986).

Furthermore, it is noted that during the promulgation of the provisions of 37 CFR 1.137(b) first providing for the ability to revive an application based on unintentional abandonment, in response to comments to the proposed rules, Gerald J. Mossinghoff, then Commissioner for of Patents and Trademarks discussed what was intended in the statement in § 1.137(b) that the Commissioner may require additional information where there is question whether the abandonment was unintentional. The Commissioner responded that, “Additional

information would be required only where there is an indication that the abandonment was intentional, for example, where an express abandonment had been filed. The record should be clear how such an express abandonment was unintentional if the petition is to be granted.” 47 FR 33086, 33094 (1982)

It is also respectfully noted that the applicant’s petition to revive the current application pursuant to 37 CFR 1.137(b) should not be reviewed with a requirement that there was an exercise of due care and diligence. In response to comments to proposed changes to 37 CFR 1.137(b) to remove the filing period requirements, Bruce A. Lehman, then Assistant Secretary of Commerce and Commissioner of Patents and Trademarks replied that “unintentional delay does not require that the delay have occurred despite the exercise of due care and diligence (as does “unavoidable” delay), the Office does not routinely require a “showing” of unintentional delay for a petition under § 1.137(b).” 62 FR 5312, 53161 (1997).

In the interest of full disclosure, however, Applicant has requested a detailed summary of all facts concerning each of the individuals that were in some way involved with the prosecution of these files. Specifically, Applicants have included declaration from (i) Shahan Islam, Reg. No. 32,507, who was the attorney responsible for this application; (ii) Cheryl Bajana, a legal secretary that worked with Mr. Islam and was responsible for opening and managing mail from the USPTO; (iii) Samson Helfgott, Reg. No. 23,072, a colleague of Mr. Islam who was aware of many of the technical details concerning the docketing database as well as the facts that led to the discovery of the inadvertent abandonment of this application; and (iv) Satnarine Seonarain, a docketing clerk with the law firm of Rosenman & Colin, LLP, who provided screenshots and images of the docketing entries (or lack thereof) concerning the abandonment of this application.

As these declarations provide, Mr. Islam does not recall being notified of the outstanding notice of missing parts or the notice of abandonment. Indeed, the notice of abandonment could not even be found in the file that had been set up for this application. (Decl. of Shahan, para. 8) This docketing oversight led to the initial abandonment of the application which was not discovered on June, 2002. (Decl. of Shahan, para.12). This petition was rejected as a result of a failure to reply to the outstanding office action. The decision on the petition, however, was not properly docketed as a result of a lack of experience by Mr. Islam's secretary and was not brought to the attention of Mr. Islam. Throughout this period, however, "it was never [Mr. Islam's] intention to abandon this application, nor was it the intention of the applicant, and [he] continuously worked on the inventorship issue in order to provide the necessary information to the USPTO." (Decl. of Shahan, para. 18) This issues were complicated by law firm mergers, technology integration issues, and docketing management challenges throughout the period. (Decl. of Seonarain, para. 4-6) These facts, as well as the supporting documents provided as exhibits to each of these declarations.

It is believed that applicant's initial petition filed on July 28, 2003, this renewed petition, and the facts set forth in each of the declarations (and documented, in part, with the attached exhibits) clearly illustrate that there was no deliberate or express action taken to abandon the current application. It is believed that the facts as set forth in the most recent petition filed on July 28, 2003 and in this request for reconsideration demonstrates that the abandonment of the current application was wholly unintentional. Thus, it is respectfully requested that applicant's request for reconsideration of its petition to revive the current application due to unintentional abandonment, pursuant to 37 CFR 1.137(b), be granted and the current application be revived and returned to examination.

Applicant is also enclosing herewith the requested documentation concerning the Petition Under 37 CFR 1.47(b) in reply to the Notification of Missing Requirements Under 35 U.S.C. 371. Specifically, the Examiner found that (4) and (5) of 1.47(b) was not satisfied as a result of the failure to expressly include the U.S. patent application number and to include an oath or declaration by the applicant or their agent.

The examiner found that item (4) was not satisfied. Specifically, the examiner found that the petition failed to include a properly executed declaration by the applicant on behalf of the inventor. I hereby declare that the applicant has duly authorized me, Kendall Thiessen, as its attorney of record in this case, to execute this declaration as its agent and on its behalf. A properly executed declaration is transmitted herewith. As such, item (4) is now satisfied.

The examiner found that item (5) was not satisfied. Specifically, the examiner held that (5) was not satisfied as a result of a failure to include an assignment of the INSTANT application from Eugene Bond. The applicants have included an additional copy of the assignment from Eugene Bond. As will be noted, the assignment includes both an express assignment of the INVENTION set forth in the provisional application (from which the instant application is a direct descendant and which claims the same invention) but also includes an assignment of "the United States application [on such invention], and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted on any of the same and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country...." As the present applications claims the same invention and as the instant application as an "applications for patents...for said invention", the

assignment from Eugene Bond was effective to assign his rights to the present application. For these reasons, item (5) is now satisfied.


It is respectfully requested that the current application be revived pursuant to this renewed petition and that the enclosed Petition Under 37 CFR 1.47(b) entered and considered for the above-referenced application.

A check and petition for a one-month extension is included with these materials. It is believed that no other fees are due with this petition. However, if any other fees are a due with this paper, the Commissioner is hereby authorized to charge such fee(s) to Deposit Account No. 50-0792.

Please direct any inquiries regarding this petition to the Applicant's undersigned attorney, who can be reached directly by telephone at (303) 298-5718.

Favorable consideration is respectfully solicited.

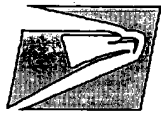
Respectfully submitted,



Kendall I. Thiessen
Reg. No. 45,158

Attorney Docket No.: 04560-00009

Gibson, Dunn & Crutcher LLP
1801 California Street, Suite 4100
Denver, CO 80202
Telephone: 303/298-5700
Facsimile: 303/296-5310



UNITED STATES
POSTAL SERVICE™

Date: 07/08/2003

Fax Transmission To: LINDA CHAN
Fax Number: 212-894-5712

Dear LINDA CHAN:

The following is in response to your 07/08/2003 request for delivery information on your Express Mail item number EV105567294US. The delivery record shows that this item was delivered on 11/01/2002 at 09:34 AM in LAS VEGAS, NV 89122 to E BOND. The scanned image of the recipient information is provided below.

Signature of Recipient: _____

delivery section

Barbara A. Bond

BARBARA A. BOND

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local Post Office or postal representative.

Sincerely,

United States Postal Service

PETITION FOR EXTENSION OF TIMEDocket Number (Optional)
04560-00009In re Application of **Bond**Application Number **09/720,042**Filed **12/15/00**For **Software Verification and Authentication**

Group Art Unit

Examiner

This is a request to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows

(check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$ 110.00
- ☐ Two months (37 CFR 1.17(a)(2)) \$ 420.00
- ☐ Three months (37 CFR 1.17(a)(3)) \$ 950.00
- ☐ Four months (37 CFR 1.17(a)(4)) \$ 1,480.00
- ☐ Five months (37 CFR 1.17(a)(5)) \$ 2,010.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-0792.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

December 23, 2003

Date

Signature

Kendall I. Thiessen, Reg. No. 45,158

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of two forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 60105534_4.DOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **Thomas Eugene Bond**
Title : **SOFTWARE VERIFICATION AND AUTHENTICATION**
Serial No. : **09/720,042**
Filed : **December 15, 2000**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Declaration of Shahan Islam

I, Shahan Islam, hereby declare as follows:

1. I am a registered patent attorney in the United States Patent and Trademark Office under registration no. 32,507.
2. In 1998, I was a partner in the law firm of Friedman Siegelbaum handling intellectual property matters. Through an Australian associate, F.B. Rice & Company, with whom I had been working, I was put in contact with an Australian client, Aristocrat Leisure Industries Pty. Ltd. (which later changed its name to Aristocrat Technologies Australia Pty Limited) (hereinafter "Aristocrat"), who had requested that I prepare provisional applications for filing in the United States Patent and Trademark Office in connection with technology that they acquired from a company, Nugame, Inc. (hereinafter "Nugame"), located in the United States. In preparing the provisional applications I was in contact with a number of individuals who were former employees of Nugame and who had invented the technology. A provisional application was filed in the United States Patent Office on June 17, 1998. Copies of the application were forwarded to F. B. Rice.
3. At the beginning of 1999, I was in communication with F.B. Rice in connection with this case and they advised me that they were instructed by Aristocrat to file a PCT application in Australia, designating the United States. As the inventors were not available to F.B. Rice, they filed the application in Australia advising the Australian receiving office that the inventors were unavailable to sign the powers and the application was given a filing date.
4. Around April, 2000, I left the firm of Friedman Seigelbaum and began working as a partner with the firm of Rosenman & Colin LLP. At the time, Rosenman & Colin LLP did not have an intellectual property department. Thus, I had to establish my own routines with very limited

staff available. I set up a physical manual docketing system whereby my secretary would enter into a calendar due dates for responses to Office Actions for patent applications and monitor the calendar. As due dates approached my secretary would pull the files for my review and preparation of the required response. A sample page of such docket book is attached herewith as Exhibit A.

5. A few months after I arrived, I was contacted by F.B. Rice and given instructions to enter into the national phase on the PCT application no. PCT/AU99/00389. In December, 2000 I entered into the national phase by filing this case on December 15, 2000. Under my supervision Theodore Gottlieb, who was hired as a paralegal with Rosenman & Colin LLP, advised F.B. Rice of such entry with the attached letter, Exhibit B.
6. On January 25, 2001, the USPTO issued a notice of missing requirements indicating that there was no oath or declaration submitted. I advised F.B. Rice of this and F.B. Rice indicated that apparently they could not contact the inventors and requested our assistance in this matter. This was conveyed in a letter to me, a copy of which is attached hereto as Exhibit C.
7. In October, 2000, a new secretary, Cheryl Bajana, began working for me at Rosenman & Colin LLP. As she had no intellectual property training, I trained her with respect to her duties as an intellectual property secretary. During this time, Ms. Bajana began docketing, in the physical manual calendar that I had previously set up, due dates for responses to Office Actions received from the USPTO for patent applications I was handling. During my preparation of this declaration, I was advised that Katten Muchin Zavis Rosenman could no longer find the docket calendar for the year 2000 and accordingly I have no way of checking whether the notice of missing requirements was correctly docketed. However, to the best of my recollection, the notice of missing requirements was not brought to my attention for preparation of a response.
8. To the best of my recollection, I did not receive a notice of abandonment for this application. During preparation of this Declaration, I again personally reviewed the file I had set up for this application, which included the correspondence to and from the USPTO, and I did not find any notice of abandonment in the file.
9. During the period after October 2000, I regularly tried to contact the inventors to no avail. In my efforts to contact the inventors, I called the former CEO of Nugame, Mr. Michael J. Farnham, and he advised me that Nugame was no longer in existence and he had no way of contacting the inventors. I searched on the internet for contact telephone and address information for the inventors, but was unsuccessful in locating the inventors. However, throughout this time, I had the continued intention of finding the inventors in order to fulfill the requirements of the USPTO and maintain this application.
10. In September 2001, my firm, Rosenman & Colin LLP had brought in a patent group headed by Samson Helfgott, and included a number of

attorneys. They apparently had a computerized docketing system. They were very busy at the beginning transferring all of their work and maintaining their clients and were not readily available to address my needs. Although as a partner I retained responsibility for my own clients with respect to their patent matters, once they got their docketing system set up at Rosenman & Colin LLP, they indicated that they would be available to enter my docketing into their computerized system. Prior to this time, I would occasionally request that specific matters be docketed. I do not recall requesting any such special docketing for this application. Once the docketing system was set up at Rosenman & Colin LLP, as new matters came to my secretary for docketing, she would docket it in my manual docketing calendar, and was instructed to then submit the information to the docketing clerk for entry into the computerized docketing system. The docketing clerk would then enter the bibliographic information for the application as well as the docketing information, in the computerized system. Because of the volume of work, we only proceeded on a going forward basis. Accordingly, as my secretary received new correspondence from the USPTO and noted that there was a due date for response, she would make a docketing entry in my manual docketing calendar and a corresponding docketing entry would be made in the computerized docketing system. By way of example, attached as Exhibit D is a print-out of a docketed due date for one of the applications I handled corresponding to a docketing entry made for the same case in my docketing calendar, as shown in the copy of the relevant page of my 2002 docket calendar attached hereto as Exhibit E.

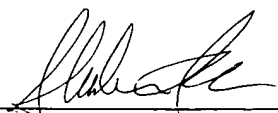
11. In approximately February 2002, the firm of Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZRosenman"). After the merger, I continued to have responsibility for the prosecution of this case.
12. During June, 2002, while reviewing other cases for Aristocrat, I came upon the present case and, in seeing the notice of missing requirements, became aware that the time for submitting a response, with extensions, had passed. I therefore submitted a petition to revive the case together with a petition to suspend the rules because we could not obtain the signatures of the inventors on the declaration. This act showed the applicant's and my intention not to abandon this application.
13. On September 20, 2002, a decision was issued on the petition. Under normal routines the decision would have come to my secretary and under normal routines, had she noticed that a due date for response was given, she would have entered it into the docket calendar on the due date for response. Thereafter, as the due date would approach my secretary would bring the file to me to remind me of the response coming due. At the time, I probably assumed that this matter was docketed since the last page of the decision indicated a two-month due date for filing a request for reconsideration of the petition. However, in preparation for this Declaration, I was given a copy of the 2002 docket calendar and on the date of November 20, 2002, the date on which the two-month due date should have been entered, there does not appear to be any entry for this application. Thus, it appears that the matter was not docketed. Enclosed

as Exhibit F is a copy of the page from the 2002 docket calendar on which a notation of the attorney docket number would have been entered had the response due date been docketed.

14. After receiving and reading the decision on the petition, I again tried to contact the inventors by sending Express Mail to the last known address of the inventors, Exhibit G, as was previously discussed in my declaration filed with the initial petition and the previously filed request for reconsideration. While I was waiting for a response to the Express Mail, I contacted the Petitions Office and was advised to wait for a response to the Express Mail or until they were returned as undeliverable before proceeding with filing a request for reconsideration.
15. Although the decision on the petition was not docketed, I knew that a request for reconsideration would be due at some point and kept this in mind. Because there was no docketing for the due date for the request for reconsideration, the application was never brought to me at a specific time for preparation and filing of the request for reconsideration. However, from time to time I would recall that some action was due for this application and would renew my efforts to contact the inventors. Occasionally my memory would be triggered as to this case when I received communications from F. B. Rice regarding other cases that we handled for them. During the period from the decision on the first petition until my departure, I also continued to wait for reply correspondence from the inventors where the my communications sent by Express Mail were not returned by the United States Postal Service.
16. In March, 2003, my secretary, Cheryl Bajana, left her employment at KMZ Rosenman. After Ms. Bajana left KMZ Rosenman, I did not have a secretary of my own, but was relying upon floater secretaries to assist me with secretarial services.
17. During March of 2003, I obtained an offer of employment at another company and during the months of March and April, 2003, I tried to "clean up" as many of my files as possible. It was then that I noticed that I still had been unable to address the inventorship issue with respect to this application. At that time, I requested assignment information from the Australian associate firm of F.B. Rice in preparation for drafting the request for reconsideration of the petition, as shown in my letter attached hereto as Exhibit H.
18. As the decision on petition had not been docketed, at no time did it come to my attention for a response due. However, throughout the period from filing until my departure from KMZ Rosenman, it was never my intention to abandon this application, nor was it the intention of the applicant, and I continuously worked on the inventorship issue to provide the necessary information to the USPTO.
19. At no time was I advised by F.B. Rice or Aristocrat to allow this application to go abandoned. To the best of my knowledge, this application was to be maintained active at all times.

20. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Nov. 25, 2003


Shahan Islam

November 2002 December 2002

S	M	T	W	T	F	S	S	M	T	W	T	F	S
1	2	3	4	5	6	7	1	2	3	4	5	6	7
8	9	10	11	12	13	14	8	9	10	11	12	13	14
15	16	17	18	19	20	21	15	16	17	18	19	20	21
22	23	24	25	26	27	28	22	23	24	25	26	27	28
29	30	31					29	30	31				

OCTOBER 20

THURSDAY OCT 17 291/75							FRIDAY OCT 18 291/74							SATURDAY OCT 19 291/76						
7	3158/Fuk	S.2	7	3051/Foreman	Foreman	7	3166/FSR	FSR	7	3166/FSR	FSR	7	3166/FSR	FSR	7	3166/FSR	FSR	7	3166/FSR	FSR
:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off	
:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off	
:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off	
8	3109/Heckle	S.2	8	3109/Heckle	Heckle	8	3109/Heckle	Heckle	8	3109/Heckle	Heckle	8	3109/Heckle	Heckle	8	3109/Heckle	Heckle	8	3109/Heckle	Heckle
:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off	
:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off	
:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off	
9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7		9	100102/AC 35 R.4.7	
:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off	
:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off	
:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off	
10	2553/Nick	S.2	10	2553/Nick	Nick	10	2553/Nick	Nick	10	2553/Nick	Nick	10	2553/Nick	Nick	10	2553/Nick	Nick	10	2553/Nick	Nick
:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off	
:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off	
:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off	
11	Off work		11	Off work		11	Off work		11	Off work		11	Off work		11	Off work		11	Off work	
:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off		:15	Response to off	
:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off		:30	Response to off	
:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off		:45	Response to off	
12			12			12			12			12			12			12		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
1			1			1			1			1			1			1		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
2			2			2			2			2			2			2		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
3			3			3			3			3			3			3		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
4			4			4			4			4			4			4		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
5			5			5			5			5			5			5		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
6			6			6			6			6			6			6		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
7			7			7			7			7			7			7		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		
8			8			8			8			8			8			8		
:15			:15			:15			:15			:15			:15			:15		
:30			:30			:30			:30			:30			:30			:30		
:45			:45			:45			:45			:45			:45			:45		

SUNDAY OCT 20 293/72

2734/Heckle
Response to off
3:00

Rosenman

ROSENMAN & COLIN LLP

December 21, 2000

Rosenman & Colin LLP
575 Madison Avenue
New York NY 10022-2585

Telephone: (212) 940-8800
Facsimile: (212) 940-8776
Facsimile server: (212) 940-6400
web site: www.rosenman.com

VIA AIR MAIL

Mr. Chris Owens
F.B. Rice & Company
P.O. Box 668
Carlton South 3053
AUSTRALIA

Deadline: March 15, 2001
(Information Disclosure Statement)

DIRECT DIAL
(212) 940-6308
E-MAIL ADDRESS
TAGOTTLI@ROSENMAN.COM
DIRECT FAX NUMBER
(212) 894-5564

Re: National Phase Entry Application
Based on International Patent Application PCT/AU99/00486
Filed: December 15, 2000
For: SOFT VERIFICATION AND AUTHENTICATION
Your Ref.: 103038 Our Ref.: 3113/FBR

Dear Chris:

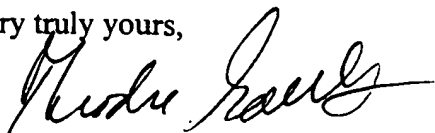
We are pleased to report that we have filed the above-identified application with the U.S. Designated/Elected Office (DO/EO/US) on December 15, 2000.

We have also filed a Preliminary Amendment, an Information Disclosure Statement, Notification of the Recording of a Change, PCT International Preliminary Examination Report, PCT Request, PCT Demand and PCT International Application Published Under the Patent Cooperation Treaty WO 99/65579.

Enclosed is our invoice for services rendered and costs incurred. We will keep you advised as the application progresses.

Please also let us know about any references for Information Disclosure Statement purposes before, say, March 15, 2001.

Very truly yours,



Theodore A. Gottlieb
Enclosure: Application as filed
Invoice No. 83064

By Facsimile

0011 1 212 940 8776



FB RICE & CO

Patent and Trade Mark Attorneys

Our Ref: 102997;103038/43/48/53

Your Ref: 3114/FBR; 3113/; 3119/; 3128/; 3135/

13 February 2001

Rosenman & Colin LLP
Attention Mr Shahan Islam
575 Madison Avenue
New York NY 10022-2585
United States Of America

CONFIRMATION

Former NuGame Properties, now Entered into the National Phase in USA

Dear Shahan

We are awaiting your advice resulting from your investigation into the possibility of enlisting the support of the inventors for the various former NuGame US Provisional applications.

As we are receiving Notification of Missing Parts for these national phase applications, we are becoming concerned that we will not be able to meet the deadlines.

Would you please advise us what our best course of action will be in that event.

We look forward to hearing from you.

Yours sincerely
F B RICE & CO

for CHRIS OWENS

CO/ih/b13/102997

605 Darling Street,
PO Box 117
Balmain NSW 2041
Australia
ABN 53 487 267 594
Tel (612) 9810 7133
Fax (612) 9810 8200
DX 22409 Balmain
partners@fbrice.com.au
www.fbrice.com.au

PARTNERS:

Chris Owens BE FIPTA

Gail Hill* BA LLB

Paul Whenman

BSc MRACI FIPTA

WJ Pickering

BSc(Hons) PhD FIPTA

Chris O'Sullivan

BSc(Elec Eng Hons) FIPTA

Wayne Willis* BSc(Hons) LLB

Mark O'Donnell

BSc(Hons) FIPTA

Brett Lunn BSc PhD GDIP FIPTA

Jenny Petering

BSc(Hons) PhD FIPTA

Paul Kilborn

BAppSc(Chem) Grad Dip Comp Stud FIPTA

Jeremy Dobbin

BA(Hons) MA(Oxon) CPA(UK) EPA FIPTA

SENIOR ASSOCIATE:

Joanne Martin*

BA MCom DiplLaw(SAB)

ASSOCIATES:

Gwen Bentley BSc FIPTA

Mark Wakeham BSc FIPTA

* Trade Mark attorney

Country Application

Sunday, October 26, 2003

Client-Matter: 053735-87545

Country: US

SubCase:

Client: MEINKE, DABRINGHAUS UND PARTNE United States of America

Case Type: ORD

Application Status: Pending

Application Number: 09 [REDACTED]

Filing Date: 12-Oct-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: [REDACTED]

Parent/PCT Date : 28-Oct-1998

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: MEIN

Tax Schedule: LE

Confirmation #:

Related Case: 2754

REDACTED

Country Application

Sunday, October 26, 2003

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Apr-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002

January 2003 February 2003

S	M	T	W	T	F	S	S	M	T	W	T	F	S
6	7	8	9	10	11	12	2	3	4	5	6	7	8
13	14	15	16	17	18	19	9	10	11	12	13	14	15
20	21	22	23	24	25	26	16	17	18	19	20	21	22
27	28	29	30	31			23	24	25	26	27	28	

to DECEMBER 29

FRIDAY, DEC 26, 2002			SATURDAY, DEC 27, 2002		
7	Boxing Day (C. UK)	7	3040 / Kaiti	7	3040 / Kaiti
:15	Kwanzaa begins	:15	2754 / Mewke	:15	2754 / Mewke
:30	Response to OPA	:30	Response to OPA	:30	Response to OPA
:45	Response to OPA	:45	Response to OPA	:45	Response to OPA
8		8		8	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
9		9		9	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
10		10		10	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
11		11		11	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
12		12		12	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
1		1		1	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
2		2		2	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
3		3		3	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
4		4		4	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
5		5		5	
:15		:15		:15	
:30		:30		:30	
:45		:45		:30	
6		6		SUNDAY, DEC 29, 2002	
:15		:15			
:30		:30			
:45		:45			
7		7			
:15		:15			
:30		:30			
:45		:45			
8		8			
:15		:15			
:30		:30			
:45		:45			

from **NOVEMBER 18**

October 2002						
S	M	T	W	T	F	S
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

November 2002						
S	M	T	W	T	F	S
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

MONDAY, NOV. 18 322/43

TUESDAY, NOV. 19 322/42

WEDNESDAY, NOV. 20 324/41

7	7	7
:15	:15	:15
:30	:30	:30
:45	:45	:45
8	8	8
:15	:15	:15
:30	:30	:30
:45	:45	:45
9	9	9
:15	:15	:15
:30	:30	:30
:45	:45	:45
10	10	10
:15	:15	:15
:30	:30	:30
:45	:45	:45
11	11	11
:15	:15	:15
:30	:30	:30
:45	:45	:45
12	12	12
:15	:15	:15
:30	:30	:30
:45	:45	:45
1	1	1
:15	:15	:15
:30	:30	:30
:45	:45	:45
2	2	2
:15	:15	:15
:30	:30	:30
:45	:45	:45
3	3	3
:15	:15	:15
:30	:30	:30
:45	:45	:45
4	4	4
:15	:15	:15
:30	:30	:30
:45	:45	:45
5	5	5
:15	:15	:15
:30	:30	:30
:45	:45	:45
6	6	6
:15	:15	:15
:30	:30	:30
:45	:45	:45
7	7	7
:15	:15	:15
:30	:30	:30
:45	:45	:45
8	8	8
:15	:15	:15
:30	:30	:30
:45	:45	:45

October 29, 2002

VIA EXPRESS MAIL

Mr. Eugene Bond
6329 Lena King Avenue
Las Vegas, Nevada 89120

Re: U.S. Patent Serial No. 09/720,042
Filed: December 15, 2000
For: Software Verification and Authentication
Our Ref.: 3113/FBR (031035-87554)

Dear Eugene:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

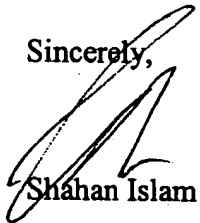
We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed,

Mr. Eugene Bond
October 29, 2002
Page 2

self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

Please call me if you have any questions and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,



Shaham Islam

Enc: Copy of Patent Application
Declaration and Power of Attorney
Self-addressed Federal Express envelope

1866-1867

My residence, post office address and citizenship are as stated below my name,

Title of Invention

(for declaration not accompanying application)

(date(s) of all amendments)

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119/172
PCT/AU99/00486	PCT/AU	17 June 1999	Yes <u>---X---</u> No
			Yes <u>---</u> <u>---</u> No
			Yes <u>-----</u> No

APPLICATION NUMBER	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
60/089,654	June 17, 1998			X

POWER OF ATTORNEY: As _____, I hereby appoint Shahan Islam (Reg. No. 5007) whose address is **KMZ Rosenman**, 575 Madison Avenue, New York, New York 10022-2585 (e-mail: shahan.islam@kmzr.com) as my attorney, to prosecute this application, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Send Correspondence To: SHAHAN ISLAM, ESQ. KMZ ROSENMAN , 575 Madison Avenue, New York, New York 10022-2585				Direct Telephone Number (212) 940-8564	
Full Name of 1st Inventor	Last Name BOND		First Name EUGENE		Middle Name THOMAS
Residence & Citizenship	City Las Vegas	State or Foreign Country Nevada		Country of Citizenship USA	
Home Address	No. and Street Address 6329 Lena King Avenue	City Las Vegas	State or Country Nevada		Zip Code 89120
Full Name of 2nd Inventor	Last Name		First Name		Middle Name
Residence & Citizenship	City	State or Foreign Country		Country of Citizenship	
Home Address	No. and Street Address	City	State or Country		Zip Code
Full Name of 3rd Inventor	Last Name		First Name		Middle Name
Residence & Citizenship	City	State or Foreign Country		Country of Citizenship	
Home Address	No. and Street Address	City	State or Country		Zip Code
Full Name of 4th Inventor	Last Name		First Name		Middle Name
Residence & Citizenship	City	State or Foreign Country		Country of Citizenship	
Home Address	No. and Street Address	City	State or Country		Zip Code

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of 1st Inventor	Signature of 2nd Inventor	Signature of 3rd Inventor	Signature of 4 th Inventor
Date	Date	Date	Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **Thomas Eugene Bond**
Title : **SOFTWARE VERIFICATION AND AUTHENTICATION**
Serial No. : **09/720,042**
Filed : **December 15, 2000**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

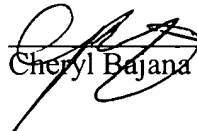
Declaration of Cheryl Bajana

I, Cheryl Bajana, hereby declare as follows:

1. During September 2000, I began my employment at the law the firm of Rosenman & Colin LLP and during October 2000 I was assigned as a secretary to work for Mr. Shahan Islam, a partner handling intellectual property matters. I had no previous experience in connection with intellectual property matters and was instructed by Mr. Islam in connection with administrative procedures concerning his work.
2. During my employment as Mr. Islam's secretary, one of the procedures established was for me to receive and open all mail sent to Mr. Islam from the USPTO. When a due date for response was noted on the correspondence from the USPTO, I was to enter the information into a physical calendar indicating the due date for the response. Thereafter, I was to bring the file with the communication to Mr. Islam. Attached hereto as Exhibit A is a page from one of the physical calendars showing entries that I made for due dates. On a regular basis, I was also to review the physical docketing calendar for upcoming due dates and for each item coming due I was to bring the relevant file to Mr. Islam for preparation of the response coming due.
3. In September 2001, Mr. Samson Helfgott and a group of other patent attorneys joined the firm of Rosenman & Colin LLP. At that time our intellectual property group handling patents had expanded. I became aware that they had a computerized docketing system to be maintained by a docketing clerk, that was being installed on the firm's computer network. From about February 2002 on, I was asked that each time I entered a docket number in the physical manual docketing calendar that I maintained for Mr. Islam, I should also give the file and correspondence to the docketing clerk for entry of the application information in the computerized docketing system and for docketing of the due date for the response to the correspondence.

4. Attached as Exhibit B is a decision on petition dated September 20, 2002 which apparently arrived at Rosenman & Colin LLP for Mr. Islam. At present I do not recall receiving the decision on the petition and I do not recall whether or not I entered any docketing information for this on the physical docketing calendar. Attached as Exhibit C is a copy of the page from the physical docketing calendar for the due date for the filing of a request for reconsideration of the decision on the petition. The absence of my entry for such due date indicates that no docket entry was made.
5. Generally, as I received correspondence from the USPTO, I would always look at the first page which normally contained a due date for filing of a response, and then I would use that as the basis for my entry into the docketing system (including making a notation of the due date in my physical docketing calendar and forwarding the file and correspondence to the docketing clerk for entry and docketing, after the implementation of the computerized docketing system at Rosenman & Colin LLP). I note that in this case, the document had multiple pages and near the end of the last paragraph, on the last page, imbedded within the text is a due date for response. As I normally took the due date from the first page of such communication from the U.S. Patent and Trademark Office, it may very well have been that I did not read through the entire multiple-page document, and therefore did not find the due date embedded within the last paragraph. As I did not make an entry of the due date for the response in the physical manual docketing calendar, I would not have forwarded the file and correspondence to the docketing clerk for entry into the computerized docketing system. Furthermore, as no entry was made into the physical manual docketing calendar, I would have had no reason to bring this case to the attention of Mr. Islam when the actual due date came about.
6. I recall this case as being one in which Mr. Islam continuously tried to contact the inventors. I recall regularly sending out mail, looking up information, and trying to obtain the contact information for the inventors. I specifically recall Mr. Islam's concern about his inability to obtain the inventors contact information.
7. I do not recall ever receiving any correspondence from Aristocrat or F.B. Rice instructing us to abandon this application. To the best of my knowledge, this application was to be maintained active at all times.
8. In March 2003, I left the employ of KMZ Rosenman.
9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/25/03


Cheryl Bajana

November 2002

S M T W T F S

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

December 2002

S M T W T F S

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

OCTOBER 20

THURSDAY OCT 17 290/75

FRIDAY OCT 18 291/74

SATURDAY OCT 19 292/73

SUNDAY OCT 20 293/72

7	3158/Fik	7	305/Foreman	7	3166/Foreman
:15	Response to Off	:15	Response to Off	:15	Response to Off
:30	Response to Off	:30	Response to Off	:30	Response to Off
:45	Response to Off	:45	Response to Off	:45	Response to Off
8	3109/Foreman	8	305/Foreman	8	305/Foreman
:15	Response to Off	:15	Response to Off	:15	Response to Off
:30	Response to Off	:30	Response to Off	:30	Response to Off
:45	Response to Off	:45	Response to Off	:45	Response to Off
9	100102/035	9	100102/035	9	100102/035
:15	Response to Off	:15	Response to Off	:15	Response to Off
:30	Response to Off	:30	Response to Off	:30	Response to Off
:45	Response to Off	:45	Response to Off	:45	Response to Off
10	2553/Nick	10	2553/Nick	10	2553/Nick
:15	Response to Off	:15	Response to Off	:15	Response to Off
:30	Response to Off	:30	Response to Off	:30	Response to Off
:45	Response to Off	:45	Response to Off	:45	Response to Off
11	Off man	11	Off man	11	Off man
:15	3057/Emil	:15	3057/Emil	:15	3057/Emil
:30	3057/Emil	:30	3057/Emil	:30	3057/Emil
:45	3057/Emil	:45	3057/Emil	:45	3057/Emil
12		12		12	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
1		1		1	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
2		2		2	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
3		3		3	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
4		4		4	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
5		5		5	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
6		6		6	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
7		7		7	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	
8		8		8	
:15		:15		:15	
:30		:30		:30	
:45		:45		:45	

2734/Foreman
Response to Off/3000
3000



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
With Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

04560-00009

First Named Inventor

BOND

COMPLETE IF KNOWN

Application Number

09/720,042

Filing Date

15 Dec 2000

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Software Verification and Authentication

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

12/15/2000

as United States Application Number or PCT International

Application Number

09/720042

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
PCT/AU99/00486	PCT	06/17/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☐ Customer Number: OR ☒ Correspondence address below

Name

Kendall I. Thiessen - Gibson, Dunn & Crutcher LLP

Address

1801 California St., Suite 4100

City

Denver

State

Colorado

ZIP

80202

Country

USA

Telephone

303/298-5718

Fax

303/296-5310

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Eugene Thomas

Family Name

or Surname

Bond

Inventor's

Signature

Date

Residence: City

Las Vegas

State

NV

Country

USA

Citizenship

USA

Mailing Address

6329 Lena King Avenue

City

Las Vegas

State

NV

ZIP

89120

Country

USA

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Family Name

or Surname

Inventor's

Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country



Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

Su.1-08-98 03:32P NuGame

P.02

Friedman Siegelbaum Doc. No. 2583/NU

ASSIGNMENT (SOLE)

WHEREAS, I, Eugene Thomas Bond, ASSIGNOR a citizen of
Inventor's Name
U.S.A. residing at 6329 Lena King Ave. Las Vegas, Nevada 89120
Country Home Address
 am the inventor of the invention in Software Verification and Authentication
Title of Application
 which is described in a provisional application of the United States; and

☒ which is executed on ☒ even date herewith or ☐ _____
Date of Execution
☒ which is identified by Friedman Siegelbaum Docket No. 2583/NU
☐ which was filed on _____ Serial No. _____

WHEREAS, NuGame ASSIGNEE is desirous of
Name of Assignee
 obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted on any of the same and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof.

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidences or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that we have not executed, or will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I herewith set my hand and seal this 8th day of June
 19 98 (Year) (Date) (Month)

Eugene T Bond
 Signature

KMZ Rosenman
KATTEN MUCHIN ZAVIS ROSENMAN

575 Madison Avenue
New York, NY 10022-2585
212.940.8800 office 212.940.8776 fax

October 29, 2002

SHAHAN ISLAM
shahan.islam@kmzr.com
212.940.8564

VIA EXPRESS MAIL

Mr. Eugene Bond
6329 Lena King Avenue
Las Vegas, Nevada 89120

Re: U.S. Patent Serial No. 09/720,042
Filed: December 15, 2000
For: Software Verification and Authentication
Our Ref.: 3113/FBR (031035-87554)

Dear Eugene:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed,

Chicago New York Los Angeles Washington, DC Charlotte Palo Alto Newark www.kmzr.com

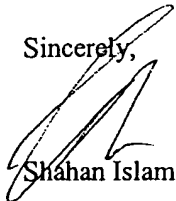
A Law Partnership including Professional Corporations

Mr. Eugene Bond
October 29, 2002
Page 2

self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

Please call me if you have any questions and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,



Shahhan Islam

Enc: Copy of Patent Application
Declaration and Power of Attorney
Self-addressed Federal Express envelope

10 Rec'd PCT/PTO 23 DEC 2003
 10 Rec'd PCT/PTO 23 DEC 2003



EV 105567294 US



EXPRESS MAIL

UNITED STATES POSTAL SERVICE®

attempted 11/14/03
Customer Copy
 Label 11-F October 2001

will attempt 11/14/03
Post Office To Addressee

ORIGIN (POSTAL USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code 10150	Day of Delivery <input checked="" type="checkbox"/> First <input type="checkbox"/> Second <input type="checkbox"/>	Flat Rate Envelope <input type="checkbox"/>	Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Date In Mo. Day Year 10 29 02	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 19.85	Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM 5:58	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	Delivery Date Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Weight lbs. ozs. 1	Int'l Alpha Country Code	COD Fee Insurance Fee	<input type="checkbox"/> NO DELIVERY <input type="checkbox"/> NO DELIVERY <input type="checkbox"/> NO DELIVERY <small>NO DELIVERY: If delivery is attempted and the addressee is not at home, the carrier will leave a notice and attempt delivery again. If delivery is not attempted, the carrier will leave a notice and no further attempt will be made.</small>		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Initials 11	Total Postage & Fees \$ 19.85	<input type="checkbox"/> NO DELIVERY <input type="checkbox"/> NO DELIVERY <input type="checkbox"/> NO DELIVERY <small>NO DELIVERY: If delivery is attempted and the addressee is not at home, the carrier will leave a notice and attempt delivery again. If delivery is not attempted, the carrier will leave a notice and no further attempt will be made.</small>		
CUSTOMER USE ONLY METHOD OF PAYMENT: Express Mail Corporate Acct. No. (X)100279			Federal Agency Acct. No. or Postal Service Acct. No.		
FROM: (PLEASE PRINT) S. Rosenman KMZ ROSENMAN 575 MADISON AVE NEW YORK NY 10022-2511 PHONE 212 940 8800			TO: (PLEASE PRINT) Mr. Eugene Bond 6329 Lena King Ave Las Vegas, Nevada PHONE 89120		
03103-87554 312843113			89120		
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com LMS					



20 SEP 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Shalam Islam
KMZ Rosenman
575 Madison Avenue
New York, New York 10022

3113

In re Application of
BOND, Thomas Eugene
Application No.: 09/720,042
PCT No.: PCT/AU99/00486
Int. Filing Date: 17 June 1999
Priority Date: 17 June 1998
Attorney Docket No.: 3113FBR
For: SOFTWARE VERIFICATION AND
AUTHENTICATION

DECISION ON PETITION
UNDER 37 CFR 1.137(b)

This is a decision on applicants' "Petition to Revive an Abandoned Application under CFR 1.137(b)" and "Response to Notification of Missing Requirements under 35 U.S.C. 371 and Petition under 37 CFR 1.183 to Suspend the Rules" filed 23 July 2002 in the above-captioned application. The requisite \$1320 petition fee for the filing of petition under 37 CFR 1.137(b) has been submitted and the requisite \$130 for a petition under 37 CFR 1.183 has been charged to Deposit Account 50-1290. The above-captioned national stage application became abandoned for failure to submit an acceptable oath or declaration within the time period set forth in the "NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)" (Form PCT/DO/EO/905) mailed 25 January 2001.

BACKGROUND

On 17 June 1999, applicant filed international application no. PCT/AU99/00486 which claimed a priority date of 17 June 1998. A copy of the international application was communicated to the United States Patent and Trademark Office from the International Bureau on 23 December 1999. A Demand for international preliminary examination was filed prior to the expiration of nineteen months from the priority date. Accordingly, the thirty-month period for paying the basic national fee in the United States at midnight on 17 December 2000.

On 15 December 2000, applicant filed a transmittal for entry into the national stage in the United States, which accompanied by, inter alia: the requisite basic national fee as required by 35 U.S.C. 371(c)(1); a copy of the international application; and a preliminary amendment.

On 25 January 2001, the PTO mailed a "Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)" (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a) and (b) was required. The notification set a one-month period to respond.

On 26 September 2001, the United States Designated/Elected Office (DO/EO/US) mailed a NOTIFICATION OF ABANDONMENT (Form PCT/DO/EO/909) indicating that the application was abandoned for failure to file a response to the Notification of Missing Requirements mailed 25 January 2001 within the time period set therein.

On 23 July 2002, applicant filed the present petition.

DISCUSSION

A. Petition to Revive Under 37 CFR 1.137(b)

A petition under 37 CFR 1.137(b) requesting that the application be revived on the grounds of unintentional abandonment must be accompanied by (1) the required reply, (2) the petition fee required by law, (3) a statement that the "entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional," and (4) any terminal disclaimer and fee required pursuant to 37 CFR 1.137(c). The appropriate petition fee of \$1280 as set forth in 37 CFR 1.17(m) has been submitted. A terminal disclaimer is not required in this application.

With regard to Item (1), the proper response was the submission of the declaration of the inventor which complies with 37 CFR 1.497(a) and (b). Applicant states in the present petition, "it is not possible to have the original inventors execute a Declaration and Power of Attorney." Therefore, in order to comply with the proper reply requirement of item (1) above for revival under 37 CFR 1.137(b), applicant must submit a petition under 37 CFR 1.47(b). Under the present circumstances, in order for the response requirement, to be satisfied, the petition under 37 CFR 1.47(b) to accept the application without the signature of inventor Thomas Eugene Bond, must be grantable.

A petition under 37 CFR 1.47(b) must be accompanied by: (1) the requisite petition fee under 37 CFR 1.17(i); (2) factual proof that the inventor refuses to execute the application or cannot be reached after diligent effort; (3) a statement of the last known address of the nonsigning inventor; (4) an oath or declaration executed by the 37 CFR 1.47(b) applicant on behalf of and as agent for the nonsigning inventor; (5) proof of proprietary interest in the application; and, (6) a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damages.

Therefore, applicants have not satisfied item (1) above.

Since applicants have not met the requirements for revival of an application under 37 CFR 1.137(b), revival at this time would not be proper.

B. Petition Under 37 CFR 1.183

Under 37 CFR 1.183, "In an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be suspended or waived by the Commissioner or the Commissioner's designee, sua sponte,

or on petition of the interested party, subject to such other requirements as may be imposed. Any petition under this section must be accompanied by the petition fee set forth in 1.17(h)."

In the present circumstances, applicants have not exhausted the remedies available to them. Applicants may file a petition under 37 CFR 1.47(b). Therefore, justice does not require a waiver of the rule where applicant has other means available to them for rectifying the abandoned status of the application. For this reason applicants' request for waiver is inappropriate.

CONCLUSION

For the reasons above, applicants' petition under 37 CFR 1.137(b) is DISMISSED without prejudice.

Applicants' petition under 37 CFR 1.183 is DISMISSED without prejudice.

The application remains ABANDONED.

If reconsideration on the merits of this petition is desired, an appropriate response to this decision must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137 (b)."

Any further correspondence with respect to this matter should be addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, with the contents of the letter marked to the attention of the PCT Legal Office.



Boris Milef
PCT Legal Examiner
Office of PCT Legal Administration



Anthony Smith
Attorney-Advisor
Office of PCT Legal Administration
Tel.: (703) 308-6314
Fax: (703) 308-6459

from **NOVEMBER 18**

October 2002

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

November 2002

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

MONDAY, NOV. 18 322/43

TUESDAY, NOV. 19 322/42

WEDNESDAY, NOV. 20 324/41

7 3064/51
:15
:30
:45

8 3072/52
:15
:30
:45

9 100100-00615
:15
:30
:45

10 3133/FLK
:15
:30
:45

11
:15
:30
:45

12
:15
:30
:45

1
:15
:30
:45

2
:15
:30
:45

3
:15
:30
:45

4
:15
:30
:45

5
:15
:30
:45

6
:15
:30
:45

7
:15
:30
:45

8
:15
:30
:45

7 3064/51
:15
:30
:45

8 120135-04141
:15
:30
:45

9 100100-00615
:15
:30
:45

10 3133/FLK
:15
:30
:45

11
:15
:30
:45

12
:15
:30
:45

1
:15
:30
:45

2
:15
:30
:45

3
:15
:30
:45

4
:15
:30
:45

5
:15
:30
:45

6
:15
:30
:45

7
:15
:30
:45

8
:15
:30
:45

7 Revolution Anniversary (M)
:15
:30
:45

8 2731/Meals
:15
:30
:45

9
:15
:30
:45

10
:15
:30
:45

11
:15
:30
:45

12
:15
:30
:45

1
:15
:30
:45

2
:15
:30
:45

3
:15
:30
:45

4
:15
:30
:45

5
:15
:30
:45

6
:15
:30
:45

7
:15
:30
:45

8
:15
:30
:45

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **Thomas Eugene Bond**
Title : **SOFTWARE VERIFICATION AND AUTHENTICATION**
Serial No. : **09/720,042**
Filed : **December 15, 2000**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Declaration of Samson Helfgott

I, Samson Helfgott, hereby declare as follows:

1. I am a registered patent attorney in United States Patent and Trademark Office under Registration No. 23,072.
2. Prior to September 2001, I was an officer in the law firm of Helfgott & Karas, P.C., located at 350 Fifth Avenue, New York, NY. On September 1, 2001, Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP, moving with all of its personnel, files, clients, and other related material to establish a patent section within the firm of Rosenman & Colin LLP. I joined Rosenman & Colin LLP as a partner.
3. Prior to our arrival at Rosenman & Colin LLP, Mr. Shahan Islam had previously joined the firm and as a partner of the firm was handling intellectual property matters on behalf of his clients.
4. At Helfgott & Karas, P.C. we had a computerized docketing system created by Computer Packages Inc. (CPi) in which was entered and maintained bibliographic information for all of our intellectual property matters. The CPi system contained an electronic docketing system in which docketing for all of the database entries was maintained. Using the CPi system, we generated docket reports on a monthly basis for each attorney. The docketing reports noted all due dates for responses to Office Actions from the USPTO, which were due in the coming month. The CPi system implemented at Helfgott & Karas, P.C. was one that has been widely accepted and is a standard utilized throughout the United States by major corporations and law firms.
5. Upon our entering into Rosenman & Colin LLP, their Information Technology (IT) staff worked with CPi to transfer the database of information from the CPi system utilized at Helfgott & Karas, P.C. onto the Rosenman & Colin LLP's computer network environment. Rosenman & Colin LLP also worked with CPi to make improvements to the CPi system that was being transferred. There was an extended transition period from our entering Rosenman & Colin LLP until the CPi

system was fully operational on Rosenman & Colin LLP's computer network in February, 2002. During the transition period, the CPi system utilized at Helfgott & Karas, P.C. was maintained on a stand-alone computer and information compared against information in the CPi system being implemented on Rosenman & Colin LLP's computer network to be sure all systems and reports were operative. At the end of the transition period, all docketing reports for attorneys generated from the transferred system

6. Beginning with February, 2002, I began addressing the entry and docketing of information for the patent cases of Mr. Shahan Islam's clients, for which he maintained a manual docketing system. Mr. Islam's secretary, Ms. Cheryl Bajana, was instructed to continue to maintain her manual docketing calendar, and after completing the entries in her docketing calendar, she was instructed to forward any correspondence she received from the USPTO to our docketing department for entry of the docketing information also in the CPi system. As the docketing department received correspondence from the USPTO for entry of docketing information in the CPi system on the cases, the bibliographical information for the patent applications handled by Mr. Islam would also be entered, unless it was previously entered.
7. Attached as Exhibits A is a copy of a page from Mr. Islam's manual docketing calendar. Attached as Exhibit B is a print-out from the CPi docketing system showing the same item as entered in Mr. Islam's manual docketing calendar.
8. At the end of February 2002, Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZ Rosenman"). The responsibility for clients remained the same so that Mr. Islam still retained responsibility for all the clients he previously had and the cases he was handling. Essentially, the working relationship that previously existed under Rosenman & Colin LLP continued under the new merged KMZ Rosenman firm.
9. Around March 2003, Mr. Islam advised me that he would be leaving KMZ Rosenman for another position. He indicated that he was reviewing his cases and asked me to reassign these cases to other attorneys within our patent department. At the time, he indicated he was still trying to complete certain matters before he left.
10. After Mr. Islam left KMZ Rosenman on April 11, 2003, I arranged for our clerical staff to go through all the files in his office and in his file cabinets and to double check to make sure that they were entered into our CPi system. To the extent cases were not yet entered into our system, we then did so. There were quite a number of cases which we found had not been entered into our CPi system and were newly entered as they were found. This procedure took approximately two months as there were a considerable number of files to go through, check the status with the USPTO's database, enter the bibliographic information in the CPi system, enter due dates, contact clients to advise them of new attorney assignments, and other administrative matters that were necessary to

from **NOVEMBER 18**

October 2002						
S	M	T	W	T	F	S
	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November 2002						
S	M	T	W	T	F	S
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

MONDAY, NOV. 18 322/43

TUESDAY, NOV. 19 323/42

WEDNESDAY, NOV. 20 324/41

7 316/41/10/16
:15
:30
:45
8
:15
:30
:45

7 320/41/10/16
:15
:30
:45
8 100135 - 0411/10/16
:15
:30
:45

7 Revolution Anniversary (M)
:15
:30
:45
8 2231/10/16
:15
:30
:45

9
:15
:30
:45

9 100100 - 20615
:15
:30
:45

9
:15
:30
:45

10
:15
:30
:45

10 3133/10/16
:15
:30
:45

10
:15
:30
:45

11
:15
:30
:45

11
:15
:30
:45

11
:15
:30
:45

12
:15
:30
:45

12
:15
:30
:45

12
:15
:30
:45

1
:15
:30
:45

1
:15
:30
:45

1
:15
:30
:45

2
:15
:30
:45

2
:15
:30
:45

2
:15
:30
:45

3
:15
:30
:45

3
:15
:30
:45

3
:15
:30
:45

4
:15
:30
:45

4
:15
:30
:45

4
:15
:30
:45

5
:15
:30
:45

5
:15
:30
:45

5
:15
:30
:45

6
:15
:30
:45

6
:15
:30
:45

6
:15
:30
:45

7
:15
:30
:45

7
:15
:30
:45

7
:15
:30
:45

8
:15
:30
:45

8
:15
:30
:45

8
:15
:30
:45

Country Application

Tuesday, November 25, 2003

Client-Matter: 031035-87554	Country: US	SubCase:
Client: F.B. RICE & CO.	United States of America	
Case Type: PCT	Application Status: Transferred	
Application Number: 09/720,042	Filing Date: 15-Dec-2000	
Publication Number:	Publication Date:	
Patent Number:	Issue Date:	
Parent/PCT Number: PCTAU99/00486	Parent/PCT Date : 17-Jun-1999	
Parent Issue Number:	Parent Issue Date :	
Agent:	Expiration Date:	
Agent Reference No.:	PTA: 0	
	Tax Schedule: LE	
Client Code: FBR	Confirmation #:	
	Related Case: 3113	

List Of Actions

Action(s) Due	Due Date	Action Taken
FOLLOW UP ON POSTCARD	15-Feb-2001	Due Date
Information Disclosure Stmtnt	15-Mar-2001	Due Date
Application Status Check	15-Jun-2002	Due Date
7 Days To Due Date	01-Aug-2003	Reminder
PETITION TO REVIVE DUE	08-Aug-2003	Final

User ID: SSEONARA
Date Created: 27-Jun-2003
Last Update: 09-Oct-2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : **Thomas Eugene Bond**
Title : **SOFTWARE VERIFICATION AND AUTHENTICATION**
Serial No. : **09/720,042**
Filed : **December 15, 2000**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Declaration of Satnarine Seonarain

I, Satnarine Seonarain, hereby declare as follows:

1. In August 2001, I began working as a docket clerk at the firm of Helfgott & Karas, P.C. Since that period of time, I was responsible for entry of all bibliographic information and docketing information for all patent applications into a computerized docketing system created by Computer Packages Inc. ("CPi").
2. I worked with CPi personnel in making sure that the database was accurate, and on a regular basis I printed out docket reports to be given to individual attorneys containing docketing information on cases where a response was due in the upcoming month. Attached as Exhibit A is a sample of a docket report prepared for a specific attorney (with sensitive/confidential information redacted). Attached as Exhibit B are sample of print-outs for some patent records as generated by the CPi system showing the docketing entered for the applications and the bibliographic information entered.
3. In September 2001, the firm of Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP and I along with all the other personnel of Helfgott & Karas, P.C. moved to the offices of Rosenman & Colin LLP. At that time the CPi system was being converted onto Rosenman & Colin LLP's computer network.
4. When I arrived at Rosenman & Colin LLP, the CPi system was not yet fully operational on Rosenman & Colin LLP's computer network – there were report generators and other requirements that needed to be completed for the CPi system to be fully operational on the Rosenman & Colin LLP's computer network. The transitional period from the move to Rosenman & Colin LLP until the CPi system was fully operational and I was satisfied with the system lasted for a few months following our move to Rosenman & Colin LLP. During this transition period, I continued to utilize both the old CPi system, which was maintained on a stand-alone computer, and the

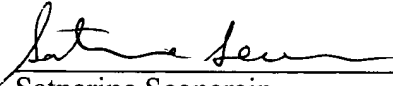
new CPi system on Rosenman & Colin LLP's computer network, in order to be sure that no data was lost. I compared printouts from both systems to ensure that the records as on the new CPi system were accurate. It was not until February 2002, that I was satisfied that the new system could be fully utilized and we then discontinued use of the old CPi system on the stand-alone computer.

5. Once the CPi system was fully operational at Rosenman & Colin LLP, I was instructed to begin entering information on the patent cases for the clients of Mr. Shahan Islam. As there were a vast number of cases, I was usually given docketing information from Mr. Islam or his secretary, Ms. Cheryl Bajana, as they received correspondence from the United States Patent and Trademark Office (USPTO) for the individual cases. When I received such docketing information, I would pull the file for the application and enter the bibliographic information for the application into the CPi system, if the information had not yet been entered, and then I would docket the application for response due to the correspondence received from the USPTO. By way of example attached as Exhibit C is a print-out for one of Mr. Islam's cases showing the docketed due dates. The entry in the CPi system corresponds to the entry made in the docketing calendar shown to me as Ms. Bajana's docketing calendar for patent applications handled by Mr. Islam.
6. In the middle of April, 2003, when Mr. Islam left the firm (now named Katten Muchin Zavis Rosenman following a merger with another firm in 2002), we began to review all of the files for the patent applications handled by Mr. Islam. Files were located in Mr. Islam's office, his file cabinets, the local active storage room and the other storage areas of the firm containing patent files. On a case by case basis, I began reviewing all of the files for the patent applications handled by Mr. Islam. For those applications for which bibliographic information and docketing information had not yet been entered in the CPi system, I began entering the bibliographic information and entered any docketing information for any outstanding Office Actions. To do so, it was necessary to review each file to determine its current status, whether there was any docketing information required to be entered, verifying the current status with the USPTO, and being sure that the information being entered was accurate and verifiable. Because of the large number of cases involved, and my existing workload, such entry and review was at a slow pace, but was actively pursued each day. Of course, as new Office Actions came in for applications formerly handled by Mr. Islam, the bibliographical and docketing information for that application was immediately entered and the case forwarded to a patent attorney for prosecution.
7. In June 2003, I was given the present case for entry into the database. The bibliographic information as was entered at that time included docketing information. Attached as Exhibit D is a current printout showing information contained in the CPi system's database relating to this case.
8. I do not recall anyone ever giving me the decision on the petition dated September 20, 2002 for docketing entry in connection with this application. The CPi system did not have any entries for docketing in connection with the this case. The file jacket also does not include any of

my notations for docketing. In view of this, I do not believe I received the decision on the petition from either Mr. Islam or Ms. Bajana for entry of the docketing information in the CPi system.

9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/25/03.


Satnarine Seonarain

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
01-Oct-2003 Due Date	Application Status Check	031035-00051 / United States of America <i>Related Case: 3318</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING SECURITY SYSTEM</i>	Pending F.B. RICE & CO.	10	01-Apr-2002	HW
01-Oct-2003 Due Date	Application Status Check	031035-00052 / United States of America <i>Related Case: 3320</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING CONSOLE AND SYSTEM HAVING DYNAMIC FEATURE GAME</i>	Pending F.B. RICE & CO.	10	01-Apr-2002	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-Final Office Action	031035-87549 / United States of America <i>Related Case: 2741</i> <i>Old Client Code: FBR</i> <i>Patent: DISTRIBUTED GAME ACCELERATOR</i>	Pending F.B. RICE & CO.	09	06-Aug-1999	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	031035-87577 / United States of America <i>Related Case: 3265</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING VIDEO OVERLAY</i>	Pending F.B. RICE & CO.	10	04-Dec-2001	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	032878-00062 / United States of America <i>Related Case: 3047</i> <i>Old Client Code: FLK</i> <i>Patent: METHOD AND APPARATUS FOR WEB INFORMATION EXTRACTION SERVICE</i>	Pending FIRST LAW OFFICES OF KOREA	09	14-Jul-2000	HW
01-Oct-2003 Due Date	Information Disclosure Stmt	100788-00062 / United States of America <i>Related Case: 20464</i> <i>Old Client Code: SAIC</i> <i>Patent: MANDREL-HOLDING SLIDE OR SLEEVE POD FOR NUMERICAL-CONTROL MACHINE TOOLS</i>	Pending DRAGOTTI & ASSOCIATI		01-Jul-2003	HW

REDACTED

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
01-Oct-2003	Did You Report O/A To Client	100799-00069 / A	Pending	10/	05-Nov-2002	HW
Due Date	US-Final Office Action	United States of America	KAMODA INTERNATIONAL, P.A.O.			
		<i>Related Case: 18934</i>				
		<i>Old Client Code: KAM</i>				
		<i>Patent: WHEEL DRIVE UNIT</i>				
01-Oct-2003	Did You Report O/A To Client	100809-16206 /	Pending	09/	10-Jan-2001	HW
Due Date	US-3 Month Office Action	United States of America	Sony Computer Entertainment Inc.			
		<i>Related Case: 18056</i>				
		<i>Old Client Code: SCEI</i>				
		<i>Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING ROLE-PLAYING GAMES</i>				
01-Oct-2003	Did You Report O/A To Client	100809-16276 /	Pending	09/	02-Oct-2001	HW
Due Date	US-3 Month Office Action	United States of America	Sony Computer Entertainment Inc.			
		<i>Related Case: 19027</i>				
		<i>Old Client Code: SCEY</i>				
		<i>Patent: METHOD RELATED TO OBJECT CONTROL OF VIDEO GAME</i>				
01-Oct-2003	Did You Report O/A To Client	101353-00050 /	Pending	10/	13-Nov-2002	HW
Due Date	US-3 Month Office Action	United States of America	JAMES J. ENRIGHT			DD
		<i>Related Case: 3268</i>				
		<i>Old Client Code: ENRI</i>				
		<i>Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS</i>				
02-Oct-2003	Did You Report O/A To Client	053735-87545 /	Pending	09/	12-Oct-1999	HW
Due Date	US-Final Office Action	United States of America	MEINKE, DABRINGHAUS UND PARTNER GBR			
		<i>Related Case: 2754</i>				
		<i>Old Client Code: MEIN</i>				
		<i>Patent: FLUIDIZED-BED REACTOR FOR THE OXYCHLORINATION OF ETHYLENE, OXYGEN AND HCI ACCELERATOR</i>				

Patent Due Date List By Date

01-01-2003 To 31-03-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
02-Oct-2003	Information Disclosure Stmt	100700-00106 / United States of America	Pending HERRERO & ASOCIADOS	60/	02-Jul-2003	HW
Due Date		<i>Related Case: 20480</i> <i>Old Client Code: HERR</i> <i>Patent: REMOVABLE EDIBLE LABEL BASED ON COLLAGEN FOR LABELLING FOOD PRODUCTS</i>				
03-Oct-2003	Foreign Filing Reminder	077695-87544 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW
Due Date	Foreign Filing	<i>Related Case: 3262</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON PLUG WITH LOCKING COVER</i>				DD
03-Oct-2003	Filing Reminder	077695-87544 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW
Due Date	File Application	<i>Related Case: 3262</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON PLUG WITH LOCKING COVER</i>				DD
03-Oct-2003	Filing Reminder	077695-87563 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW
Due Date	File Application	<i>Related Case: 3293</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON/OFF 360 BEAD CAP</i>				DD
03-Oct-2003	Foreign Filing Reminder	077695-87563 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW
Due Date	Foreign Filing	<i>Related Case: 3293</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON/OFF 360 BEAD CAP</i>				DD
03-Oct-2003	Foreign Filing Reminder	077695-87564 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW
Due Date	Foreign Filing	<i>Related Case: 3294</i> <i>Old Client Code: STULL</i> <i>Patent: VENTING SYSTEM FOR CLOSURES</i>				DD

Summary Due Date 03-10-2003





Patent: 07695-87564 / 07695-87570 / 07695-87571 / 07695-87572

Page 4 of 4

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
03-Oct-2003 Due Date	Filing Reminder File Application	07695-87564 / United States of America <i>Related Case: 3294</i> <i>Old Client Code: STULL</i> <i>Patent: VENTING SYSTEM FOR CLOSURES</i>	Pending STULL TECHNOLOGIES, INC.	601	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	07695-87570 / United States of America <i>Related Case: 3300</i> <i>Old Client Code: STULL</i> <i>Patent: III FINGER LOCK-TOP</i>	Pending STULL TECHNOLOGIES, INC.	601	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	07695-87570 / United States of America <i>Related Case: 3300</i> <i>Old Client Code: STULL</i> <i>Patent: III FINGER LOCK-TOP</i>	Pending STULL TECHNOLOGIES, INC.	601	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	07695-87571 / United States of America <i>Related Case: 3301</i> <i>Old Client Code: STULL</i> <i>Patent: BREAK-AWAY CLUTCH SYSTEM</i>	Pending STULL TECHNOLOGIES, INC.	601	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	07695-87571 / United States of America <i>Related Case: 3301</i> <i>Old Client Code: STULL</i> <i>Patent: BREAK-AWAY CLUTCH SYSTEM</i>	Pending STULL TECHNOLOGIES, INC.	601	03-Apr-2003	HW DD

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
04-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	032878-87728 / United States of America <i>Related Case: 3094</i> <i>Old Client Code: FLK</i> <i>Patent: METHOD AND APPARATUS FOR CONTROLLING A SEMICONDUCTOR FABRICATION TEMPERATURE</i>	Pending FIRST LAW OFFICES OF KOREA	09 	29-Sep-2000	HW
04-Oct-2003 Final	6 Month Final US-3 Month Office Action	043370-88360 / United States of America <i>Related Case: 3051</i> <i>Old Client Code: ISC</i> <i>Patent: METHOD AND APPARATUS FOR CREATING MOSAIC STRUCTURES</i>	Pending INSTILE STONE CORP.	09 	18-Oct-2001	HW
04-Oct-2003 Due Date	Application Status Check	056730-00056 / United States of America <i>Related Case: 3346</i> <i>Old Client Code: ASSIA</i> <i>Patent: DIGITAL OFFSET PRINTING REGISTRATION</i>	Pending NAOMI ASSIA LAW OFFICES	10 	04-Apr-2002	HW
04-Oct-2003 Due Date	Chapter II Due (Nat'l Filing)	100502-14715 / Patent Cooperation Treaty <i>Related Case: 18124</i> <i>Old Client Code: MULR</i> <i>Patent: STETHOSCOPE SHIELD DISPENSER</i>	Pending ISSAC WURZBURGER	PCTUS01/10920	04-Apr-2001	HW DD
04-Oct-2003 Due Date	Tax 3.5	100700-09111 / United States of America <i>Related Case: 15817</i> <i>Old Client Code: HERR</i> <i>Patent: COFFEE MAKER FILTER HOLDER SYSTEM</i>	Granted HERRERO & ASOCIADOS	09  6,044,754	27-Jan-1999 04-Apr-2000	HW

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
05-Oct-2003	FOLLOW UP ON POSTCARD	100787-00050 /	Pending		05-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	SERVICIOS ROGEL C.A.			DD
		<i>Related Case: 20450</i>				
		<i>Old Client Code: ROGL</i>				
		<i>Patent: HANGING SUPPORT</i>				
07-Oct-2003	3 Month Due Date	043520-00001 /	Pending	09 [REDACTED]	14-May-1999	HW
Due Date	US-3 Month Office Action	United States of America	INTERNATIONAL BIOMETRIC GROUP, LLC			
		<i>Related Case: 2709</i>				
		<i>Old Client Code: IBG</i>				
		<i>Patent: IDENTITY VERIFICATION METHOD USING A CENTRAL BIOMETRIC AUTHORITY</i>				
07-Oct-2003	Foreign Filing Due	077695-00067 /	Pending		07-Oct-2002	HW
Final	Foreign Filing	United States of America	STULL TECHNOLOGIES, INC.			DD
		<i>Related Case: 3357</i>				
		<i>Old Client Code: STULL</i>				
		<i>Patent: POP-OUT LOCKING PLUG</i>				
07-Oct-2003	Filing Due	077695-00067 /	Pending		07-Oct-2002	HW
Final	File Application	United States of America	STULL TECHNOLOGIES, INC.			DD
		<i>Related Case: 3357</i>				
		<i>Old Client Code: STULL</i>				
		<i>Patent: POP-OUT LOCKING PLUG</i>				
07-Oct-2003	LETTER-C DUE TO CLIENT	077695-00076 /	Pending	PCTUS03/14221	07-May-2003	HW
Final	PCT-REMINDERS	Patent Cooperation Treaty	STULL TECHNOLOGIES, INC.			DD
		<i>Related Case: 3329</i>				
		<i>Old Client Code: STULL</i>				
		<i>Patent: LOCKING KEY CAP</i>				

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
07-Oct-2003	FOLLOW UP ON POSTCARD	100700-00107 /	Pending		07-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	HERRERO & ASOCIADOS			
		<i>Related Case: 20534</i>				
		<i>Old Client Code: HERR</i>				
		<i>Patent: MOTOR VEHICLE TRANSMISSION</i>				
07-Oct-2003	FOLLOW UP ON POSTCARD	100788-00063 /	Pending		07-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	DRAGOTTI & ASSOCIATI			
		<i>Related Case: 20523</i>				
		<i>Old Client Code: SAIC</i>				
		<i>Patent: CONTAINERS FOR DIFFERENT USES IN DIFFERENT ENVIROMENTS</i>				
07-Oct-2003	INSTRUCTIONS TO Follow Up Date	100809-16144 /	Pending	2,314,057	18-Jul-2000	HW
Due Date	INSTRUCTIONS TO AGENT DUE	Canada	Sony Computer Entertainment Inc.			DD
		<i>Related Case: 17307</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: CASE ASSEMBLY INCLUDING LEGS AND CAPS</i>				
08-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-16174 /	Pending	098	18-Oct-2000	HW
Due Date	US-Allowance	United States of America	Sony Computer Entertainment Inc.			JD
		<i>Related Case: 17531</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: DISK DEVICE</i>				
09-Oct-2003	DUE DATE-ELECTION	056730-00063 /	Pending	098	25-May-2000	HW
Due Date	US-Restriction	United States of America	NAOMI ASSIA LAW OFFICES			
		<i>Related Case: 3372</i>				
		<i>Old Client Code: ASSIA</i>				
		<i>Patent: REAL TIME (ALL THE TIME) OPERATION SUPPORT SYSTEM AND METHOD</i>				
10-Oct-2003	Did You Report O/A To Client	100799-00063 /	Pending	108	09-Apr-2002	HW
Due Date	US-Final Office Action	United States of America	KAMODA INTERNATIONAL, P.A.O.			
		<i>Related Case: 19635</i>				
		<i>Old Client Code: KAM</i>				
		<i>Patent: TAPERED ROLLER BEARING</i>				

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
11-Oct-2003	Did You Report O/A To Client	100759-00050 /	Pending	10 [REDACTED]	26-Sep-2002	HW
Due Date	US-3 Month Office Action	United States of America <i>Related Case: 19973</i> <i>Old Client Code: COHN</i> <i>Patent: AIR LAUNCH OF PAYLOAD CARRYING VEHICLE FROM A TRANSPORT AIRCRAFT</i>	DR. REINHOLD COHN & PARTNERS			
11-Oct-2003	INSTRUCTIONS TO Follow Up Date	100809-16223 /	Pending	90 [REDACTED]	27-Feb-2001	HW
Due Date	INSTRUCTIONS TO AGENT DUE	Taiwan <i>Related Case: 18068</i> <i>Old Client Code: SCED</i> <i>Patent: ELECTRONIC DEVICE AND SHIELD</i>	Sony Computer Entertainment Inc.			DD
11-Oct-2003	Deferred Exam	100809-16299 /	Pending	PI [REDACTED]	11-Oct-2001	HW
Due Date		Malaysia <i>Related Case: 18681</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Sony Computer Entertainment Inc.			DD
11-Oct-2003	REQUEST FOR EXAM DUE	100809-16299 /	Pending	PI [REDACTED]	11-Oct-2001	HW
Final		Malaysia <i>Related Case: 18681</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Sony Computer Entertainment Inc.			DD
11-Oct-2003	3 Month Due Date	310105-00050 /	Pending	10 [REDACTED]	20-Jun-2002	HW
Due Date	US-3 Month Office Action	United States of America <i>Related Case: 3340</i> <i>Old Client Code: BECK</i> <i>Patent: METHOD AND DEVICE FOR DETECTION OF SUBSTANCES WITHIN VITAL TISSUE</i>	BECK & ROSSING			
12-Oct-2003	FOLLOW UP ON POSTCARD	100799-00080 / A	Pending		12-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America <i>Related Case: 19004</i> <i>Old Client Code: KAM</i> <i>Patent: ROTATION SUPPORT APPARATUS FOR COMPRESSOR PULLEY</i>	KAMODA INTERNATIONAL, P.A.O.			

三、

三、

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Atty's
12-Oct-2003 Final	REQUEST FOR EXAM DUE	100809-00094 / Malaysia <i>Related Case: 18687</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Pending Sony Computer Entertainment Inc.	PI [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Due Date	Deferred Exam	100809-00094 / Malaysia <i>Related Case: 18687</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Pending Sony Computer Entertainment Inc.	PI [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Final	REQUEST FOR EXAM DUE	100809-00100 / Malaysia <i>Related Case: 18680</i> <i>Old Client Code: SCED</i> <i>Patent: DRIVE DEVICE</i>	Pending Sony Computer Entertainment Inc.	PI [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Due Date	Deferred Exam	100809-00100 / Malaysia <i>Related Case: 18680</i> <i>Old Client Code: SCED</i> <i>Patent: DRIVE DEVICE</i>	Pending Sony Computer Entertainment Inc.	PI [REDACTED]	12-Oct-2001	HW DD
13-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	031035-87567 / United States of America <i>Related Case: 3193</i> <i>Old Client Code: FBR</i> <i>Patent: IMPROVED CARD GAME</i>	Pending F.B. RICE & CO.	09 [REDACTED]	02-May-2001	HW JD
13-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	077695-00081 / P United States of America <i>Related Case: 20573</i> <i>Old Client Code: STULL</i> <i>Patent: SOFT TEAR-AWAY SAFETY TIP</i>	Pending STULL TECHNOLOGIES, INC.		13-Aug-2003	HW DD

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
14-Oct-2003 Final	Issue Fee Due US-Allowance	031035-00053 / United States of America <i>Related Case: 3238</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE WITH PLAYER CHOICE BONUS GAMES</i>	Pending F.B. RICE & CO.	09 [REDACTED]	25-Jul-2001	HW JD
14-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	100668-00094 / P United States of America <i>Related Case: 20354</i> <i>Old Client Code: JWIL</i> <i>Patent: PET MAT</i>	Pending JONATHAN WILLINGER	60 [REDACTED]	14-Apr-2003	HW DD
14-Oct-2003 Due Date	Filing Reminder File Application	100668-00094 / P United States of America <i>Related Case: 20354</i> <i>Old Client Code: JWIL</i> <i>Patent: PET MAT</i>	Pending JONATHAN WILLINGER	60 [REDACTED]	14-Apr-2003	HW DD
14-Oct-2003 Final	Issue Fee Due US-Allowance	100700-00074 / United States of America <i>Related Case: 19730</i> <i>Old Client Code: HERR</i> <i>Patent: ELECTRICAL AIR FRESHNER</i>	Pending HERRERO & ASOCIADOS	29 [REDACTED]	14-May-2002	HW JD
14-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	100788-00064 / United States of America <i>Related Case: 20557</i> <i>Old Client Code: SAIC</i> <i>Patent: BOOK</i>	Pending DRAGOTTI & ASSOCIATI		14-Aug-2003	HW
15-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	028175-87527 / United States of America <i>Related Case: 2599</i> <i>Old Client Code: D&F</i> <i>Patent: RESOLUTION ADJUSTING APPARATUS</i>	Pending DEEP & FAR	09 [REDACTED]	01-Jun-1998	HW LSC

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
15-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	100534-15188 / United States of America <i>Related Case: 15188</i> <i>Old Client Code: GIAN</i> <i>Patent: GOLF SWING PRACTICE PLATFORM</i>	Pending Gianfagna Associates, Inc.	10/	17-Jan-2003	HW DD
15-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	100665-00053 / United States of America <i>Related Case: 18508</i> <i>Old Client Code: JAKK</i> <i>Patent: INFLATABLE TENT</i>	Pending JAKKS PACIFIC INC.	10/	26-Apr-2002	HW
15-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100788-00057 / United States of America <i>Related Case: 20346</i> <i>Old Client Code: SAIC</i> <i>Patent: SPORTS DRESS HAVING SLIP RESISTANT SURFACE ZONES</i>	Pending DRAGOTTI & ASSOCIATI		15-Apr-2003	HW
16-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	056730-88852 / United States of America <i>Related Case: 3131</i> <i>Old Client Code: ASSIA</i> <i>Patent: SYSTEM AND METHOD FOR PURCHASING GOODS OR SERVICES VIA THE INTERNET</i>	Pending NAOMI ASSIA LAW OFFICES	09/	14-Dec-2000	HW
16-Oct-2003 Due Date	Filing Reminder File Application	077695-00051 / United States of America <i>Related Case: 3325</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-TOGETHER DISPENSING TAP</i>	Pending STULL TECHNOLOGIES, INC.	60/	16-Apr-2003	HW DD
16-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-00051 / United States of America <i>Related Case: 3325</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-TOGETHER DISPENSING TAP</i>	Pending STULL TECHNOLOGIES, INC.	60/	16-Apr-2003	HW DD

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
16-Oct-2003 Due Date	Filing Reminder File Application	077695-00052 / United States of America <i>Related Case: 3324</i> <i>Old Client Code: STULL</i> <i>Patent: CLOSURE WITH TRIANGULAR POUR SPOUT</i>	Pending STULL TECHNOLOGIES, INC.	60[REDACTED]	16-Apr-2003	HW DD
16-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-00052 / United States of America <i>Related Case: 3324</i> <i>Old Client Code: STULL</i> <i>Patent: CLOSURE WITH TRIANGULAR POUR SPOUT</i>	Pending STULL TECHNOLOGIES, INC.	60[REDACTED]	16-Apr-2003	HW DD
16-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100700-09142 / United States of America <i>Related Case: 18137</i> <i>Old Client Code: HERR</i> <i>Patent: PROCESS FOR PRODUCING ICE CREAMS AND MACHINE FOR IMPLEMENTING SUCH PROCESS</i>	Pending HERRERO & ASOCIADOS	09[REDACTED]	01-Feb-2001	HW JD
17-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	031035-87575 / United States of America <i>Related Case: 3243</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE WITH MULTI-DIMENSIONAL SYMBOLS</i>	Pending F.B. RICE & CO.	09[REDACTED]	22-Aug-2001	HW
17-Oct-2003 Due Date	Information Disclosure Stmtnt	100799-00079 / United States of America <i>Related Case: 20521</i> <i>Old Client Code: KAM</i> <i>Patent: ELECTRIC-POWERED POWER STEERING APPARATUS</i>	Pending KAMODA INTERNATIONAL, P.A.O.		17-Jul-2003	HW
18-Oct-2003 Due Date	Tax 3.5	100794-11093 / United States of America <i>Related Case: 15702</i> <i>Old Client Code: FUJA</i> <i>Patent: MODULATION METHOD AND APPARATUS</i>	Granted Fujitsu Ltd.	209235 6052037	10-Dec-1998 18-Apr-2000	HW

Figure 1

01-04-2003 To 31-04-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
19-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-16300 / United States of America	Pending	09/ [REDACTED]	24-Aug-2001	HW
Due Date	US-Allowance	Related Case: 18950 Old Client Code: SCEY Patent: OPTICAL DISC APPARATUS AND ADJUSTING METHOD THEREFORE AND ENTERTAINMENT APPARATUS	Sony Computer Entertainment Inc.			JD
21-Oct-2003	FOLLOW UP ON POSTCARD	077695-00083 / P	Pending		21-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America Related Case: 20600 Old Client Code: STULL Patent: DISPOSABLE LID AND UTENSIL	STULL TECHNOLOGIES, INC.			DD
21-Oct-2003	Information Dis Follow Up Date	100439-00050 / A	Pending	10/ [REDACTED]	21-Apr-2003	HW
Due Date	Information Disclosure Stmt	United States of America Related Case: 16830 Old Client Code: Korp Patent: SCREEN BORDER	JAMES KORPAI			EM
21-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-00167 / A	Pending	10/ [REDACTED]	12-Mar-2002	HW
Due Date	US-Allowance	United States of America Related Case: 17307 Old Client Code: SCED Patent: CASE ASSEMBLY INCLUDING LEGS AND CAPS	Sony Computer Entertainment Inc.			JD
22-Oct-2003	3 Month Due Date	100788-00053 /	Pending	10/ [REDACTED]	18-Jan-2002	HW
Due Date	US-3 Month Office Action	United States of America Related Case: 19368 Old Client Code: SAIC Patent: STRUCTURE FOR LIMITING MOVEMENTS OF THE LEG-PIECE OF A MOTORCYCLE BOOT	DRAGOTTI & ASSOCIATI			

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
23-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87578 / United States of America <i>Related Case: 3308</i> <i>Old Client Code: STULL</i> <i>Patent: STULL GUARD</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	23-Apr-2003	HW DD
23-Oct-2003 Due Date	Filing Reminder File Application	077695-87578 / United States of America <i>Related Case: 3308</i> <i>Old Client Code: STULL</i> <i>Patent: STULL GUARD</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	23-Apr-2003	HW DD
23-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100668-00092 / D United States of America <i>Related Case: 17939</i> <i>Old Client Code: JWIL</i> <i>Patent: POLYHEDRAL SKELETAL ANIMAL TOY</i>	Pending JONATHAN WILLINGER	29/ [REDACTED]	23-Apr-2003	HW
23-Oct-2003 Final	Issue Fee Due US-Allowance	100809-16174 / United States of America <i>Related Case: 17531</i> <i>Old Client Code: SCED</i> <i>Patent: DISK DEVICE</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	18-Oct-2000	HW JD
24-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100788-00058 / United States of America <i>Related Case: 20352</i> <i>Old Client Code: SAIC</i> <i>Patent: MOULD FOR CURVED PANELS</i>	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	24-Apr-2003	HW EM

Patent Due Date List By Date

01-Oct-2003 To 23-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
24-Oct-2003 Final	LETTER-D DUE TO CLIENT PCT-REMINDERS	100792-00057 / Patent Cooperation Treaty <i>Related Case: 18829</i> <i>Old Client Code: ELBX</i> <i>Patent: METHOD AND APPARATUS FOR CONNECTING A TELEVISION INTERPHONE MONITOR SYSTEM TO A CONCIERGE STATION OVER THE INTERNET</i>	Pending ELBEX VIDEO LTD	PCTUS02/22187	12-Jul-2002	HW DD
25-Oct-2003 Final	INVITATION TO CORRECT DEFECTS	100717-00066 / A Patent Cooperation Treaty <i>Related Case: 19908</i> <i>Old Client Code: GRAT</i> <i>Patent: PROCEDURE AND DEVICE FOR CLEANING FLOORS WITH FLAT CLEANING MOPS</i>	Pending GUNTER GRATTINGER, ESQ.	PCTUS03/26759	26-Aug-2003	HW DD
25-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100809-00164 / United States of America <i>Related Case: 19380</i> <i>Old Client Code: SCEY</i> <i>Patent: INFORMATION ENTRY METHOD</i>	Pending Sony Computer Entertainment Inc.	100809-00164	25-Jan-2002	HW
26-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	031035-00061 / United States of America <i>Related Case: 20545</i> <i>Old Client Code: FBR</i> <i>Patent: MULTI-PLATFORM GAMING ARCHITECTURE</i>	Pending F.B. RICE & CO.	031035-00061	26-Aug-2003	HW
26-Oct-2003 Reminder	1 Month to Due Date US-Final Office Action	031035-87549 / United States of America <i>Related Case: 2741</i> <i>Old Client Code: FBR</i> <i>Patent: DISTRIBUTED GAME ACCELERATOR</i>	Pending F.B. RICE & CO.	031035-87549	06-Aug-1999	HW

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
26-Oct-2003 Reminder	Fee Due in 1 Month US-Allowance	056730-88848 / United States of America <i>Related Case: 3033</i> <i>Old Client Code: ASSIA</i> <i>Patent: SYSTEM AND METHOD FOR STREAMING REAL TIME ANIMATION DATA FILE</i>	Pending NAOMI ASSIA LAW OFFICES	09/ [REDACTED]	22-Jun-2000	HW JD
26-Oct-2003 Reminder	Reminder-Design DESIGN FOREIGN FILING DUE	100668-00097 / United States of America <i>Related Case: 20470</i> <i>Old Client Code: JWIL</i> <i>Patent: HANDLE FOR A DOUBLE-SIDED GROOMING TOOL</i>	Pending JONATHAN WILLINGER	29/ [REDACTED]	26-Jun-2003	HW DD EM
26-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	100668-00099 / E United States of America <i>Related Case: 17939</i> <i>Old Client Code: JWIL</i> <i>Patent: SPHERICAL AND SPHERICAL POLYHEDRAL SKELETAL ANIMAL TOYS</i>	Pending JONATHAN WILLINGER		26-Aug-2003	HW
26-Oct-2003 Reminder	1 Month to Due Date US-Final Office Action	100799-00069 / A United States of America <i>Related Case: 18934</i> <i>Old Client Code: KAM</i> <i>Patent: WHEEL DRIVE UNIT</i>	Pending KAMODA INTERNATIONAL, P.A.O.	10/ [REDACTED]	05-Nov-2002	HW
26-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	100809-16206 / United States of America <i>Related Case: 18056</i> <i>Old Client Code: SCEI</i> <i>Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING ROLE-PLAYING GAMES</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	10-Jan-2001	HW

11-11-11

To: 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Atlys
26-Oct-2003 Reminder	Fee Due in 2 Months US-Allowance	100811-16891 / United States of America <i>Related Case: 16891</i> <i>Old Client Code: TKU</i> <i>Patent: EXERCISE ASSISTING METER</i>	Pending TAKASU PATENT OFFICE	09/ [REDACTED]	08-Feb-2000	HW JD
27-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	031035-87577 / United States of America <i>Related Case: 3265</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING VIDEO OVERLAY</i>	Pending F.B. RICE & CO.	10/ [REDACTED]	04-Dec-2001	HW
27-Oct-2003 Reminder	EXAMINATION - REMINDER REQUEST FOR EXAMINATION	100593-15265 / Canada <i>Related Case: 14307</i> <i>Old Client Code: WHAL</i> <i>Patent: COMPACT CASSETTE FOR DENTAL INSTRUMENTS</i>	Pending COLTENE/WHALEDENT, INC.	2,345,812	27-Oct-1999	HW DD
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100700-00058 / United States of America <i>Related Case: 19542</i> <i>Old Client Code: HERR</i> <i>Patent: GRID FLOOR</i>	Pending HERRERO & ASOCIADOS	10/ [REDACTED]	15-Mar-2002	HW JD
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100788-00051 / United States of America <i>Related Case: 19190</i> <i>Old Client Code: SAIC</i> <i>Patent: IMPROVED METHOD FOR THE PRODUCTION OF SLABS OF CERAMIC MATERIAL</i>	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	18-Jan-2002	HW JD
28-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	031035-00060 / United States of America <i>Related Case: 2703</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE</i>	Pending F.B. RICE & CO.	09/ [REDACTED]	10-May-1999	HW

X-115-1

Due Date Action Due
Indicator Action Type

Client-Matter/SubCase
Country

Status
Client

App Number	Pat Number
08-0679	6,790,000
08-0680	6,790,000
08-0681	6,790,000
08-0682	6,790,000
08-0683	6,790,000
08-0684	6,790,000
08-0685	6,790,000
08-0686	6,790,000
08-0687	6,790,000
08-0688	6,790,000
08-0689	6,790,000
08-0690	6,790,000
08-0691	6,790,000
08-0692	6,790,000
08-0693	6,790,000
08-0694	6,790,000
08-0695	6,790,000
08-0696	6,790,000
08-0697	6,790,000
08-0698	6,790,000
08-0699	6,790,000
08-0700	6,790,000
08-0701	6,790,000
08-0702	6,790,000
08-0703	6,790,000
08-0704	6,790,000
08-0705	6,790,000
08-0706	6,790,000
08-0707	6,790,000
08-0708	6,790,000
08-0709	6,790,000
08-0710	6,790,000
08-0711	6,790,000
08-0712	6,790,000
08-0713	6,790,000
08-0714	6,790,000
08-0715	6,790,000
08-0716	6,790,000
08-0717	6,790,000
08-0718	6,790,000
08-0719	6,790,000
08-0720	6,790,000
08-0721	6,790,000
08-0722	6,790,000
08-0723	6,790,000
08-0724	6,790,000
08-0725	6,790,000
08-0726	6,790,000
08-0727	6,790,000
08-0728	6,790,000
08-0729	6,790,000
08-0730	6,790,000
08-0731	6,790,000
08-0732	6,790,000
08-0733	6,790,000
08-0734	6,790,000
08-0735	6,790,000
08-0736	6,790,000
08-0737	6,790,000
08-0738	6,790,000
08-0739	6,790,000
08-0740	6,790,000
08-0741	6,790,000
08-0742	6,790,000
08-0743	6,790,000
08-0744	6,790,000
08-0745	6,790,000
08-0746	6,790,000
08-0747	6,790,000
08-0748	6,790,000
08-0749	6,790,000
08-0750	6,790,000
08-0751	6,790,000
08-0752	6,790,000
08-0753	6,790,000
08-0754	6,790,000
08-0755	6,790,000
08-0756	6,790,000
08-0757	6,790,000
08-0758	6,790,000
08-0759	6,790,000
08-0760	6,790,000
08-0761	6,790,000
08-0762	6,790,000
08-0763	6,790,000
08-0764	6,790,000
08-0765	6,790,000
08-0766	6,790,000
08-0767	6,790,000
08-0768	6,790,000
08-0769	6,790,000
08-0770	6,790,000
08-0771	6,790,000
08-0772	6,790,000
08-0773	6,790,000
08-0774	6,790,000
08-0775	6,790,000
08-0776	6,790,000
08-0777	6,790,000
08-0778	6,790,000
08-0779	6,790,000
08-0780	6,790,000
08-0781	6,790,000
08-0782	6,790,000
08-0783	6,790,000
08-0784	6,790,000
08-0785	6,790,000
08-0786	6,790,000
08-0787	6,790,000
08-0788	6,790,000
08-0789	6,790,000
08-0790	6,790,000
08-0791	6,790,000
08-0792	6,790,000
08-0793	6,790,000
08-0794	6,790,000
08-0795	6,790,000
08-0796	6,790,000
08-0797	6,790,000
08-0798	6,790,000
08-0799	6,790,000
08-0800	6,790,000
08-0801	6,790,000
08-0802	6,790,000
08-0803	6,790,000
08-0804	6,790,000
08-0805	6,790,000

App Date
Iss Date

Attrs

	28-Oct-2003	Issue Fee Due
Final		US-Allowance

031035-87567 /

Pending
F.B. RICE & CO.

09	02-May-2001
----	-------------

HW	JD
----	----

Related Case: 3193

Old Client Code: FBR

Patent: IMPROVED CARD GAME

28-Oct-2003	1 Month to Due Date
Reminder	US-3 Month Office A

032878-00062 /

Pending

Pending
FIRST LAW OFFICES OF KOREA

HW

Related Case: 3047

Old Client Code: FLK

PATENT METHOD AND APPARATUS FOR WEB INFORMATION EXTRACTION SERVICE

28-Oct-2003 Fee Due in 1 Month US-Allowance Reminder

032878-87706 /

Pending

Pending
FIRST LAW OFFICES OF KOREA

HW

Related Case: 3105

Old Client Code: FLK

***Patent:* METHOD AND APPARATUS FOR OBTAINING A HIGH RESOLUTION IMAGE**

28-Oct-2003

056730-00068 /

Pending

Pending
NAOMI ASSIA LAW OFFICES

HW

Related Case: 20548

Old Client Code: ASSIA

*P*_{Patent}: A SYSTEM ARCHITECTURE AND A METHOD FOR CUSTOMER FLOW MANAGEMENT FILED OF THE INVENTION

28-Oct-2003 FOLLOW UP ON POSTCARD

100456-00052 /

Pending

Pending
E.Z.E. MACHINE COMPANY

HW

FOLLOW UP ON POSTCARD

Related Case: 18560

Old Client Code: EZE

Patent: DISPOSABLE GARBAGE BAG

6. *Smallpox*

6. *Smallpox*

10

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
28-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	101353-00050 / United States of America	Pending JAMES J. ENRIGHT	10/ [REDACTED]	13-Nov-2002	HW DD
		<i>Related Case: 3268</i> <i>Old Client Code: ENRI</i> <i>Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS</i>				
29-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	031035-87572 / United States of America	Pending F.B. RICE & CO.	09/ [REDACTED]	21-Jun-2001	HW
		<i>Related Case: 3216</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE WITH PUZZLE FEATURE</i>				
29-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	032878-00057 / United States of America	Pending FIRST LAW OFFICES OF KOREA	10/ [REDACTED]	29-Apr-2003	HW
		<i>Related Case: 20381</i> <i>Old Client Code: FLK</i> <i>Patent: MULTIPLEX ANODE MATRIX VACUUM FLUORESCENT DISPLAY AND THE DRIVING DEVICE THEREFOR</i>				
29-Oct-2003 Due Date	MISSING PARTS DUE US-Missing Parts	100720-00056 / United States of America	Pending OY HEINANEN AB	10/ [REDACTED]	18-Jun-2003	HW EM
		<i>Related Case: 20462</i> <i>Old Client Code: HEIN</i> <i>Patent: ELECTROMECHANICAL TRANSDUCER AND METHOD FOR MANUFACTURING AND ELECTROMECHANICAL TRANSDUCER</i>				
29-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100788-00059 / United States of America	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	29-Apr-2003	HW
		<i>Related Case: 20366</i> <i>Old Client Code: SAIC</i> <i>Patent: GARMENT WITH PROTECTED DEFORMABLE INSERTS</i>				

Patent Due Date List By Date

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
29-Oct-2003 Due Date	RCE-Follow Up RCE- Follow Up	100809-16122 / United States of America <i>Related Case: 16549</i> <i>Old Client Code: SCEI</i> <i>Patent: DATA GENERATION DEVICE AND DATA GENERATION METHOD, DATA RECEIVER AND DATA RECEPTION METHOD, AND DATA COMMUNICATION SYSTEM AND DATA COMMUNICATION METHOD</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	28-Oct-1999	HW
29-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	100809-16276 / United States of America <i>Related Case: 19027</i> <i>Old Client Code: SCEY</i> <i>Patent: METHOD RELATED TO OBJECT CONTROL OF VIDEO GAME</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	02-Oct-2001	HW
29-Oct-2003 Due Date	Information Disclosure Stmt	330906-00019 / United States of America <i>Related Case: 20668</i> <i>Old Client Code: TITN</i> <i>Patent: PISTON PUMP FOR HIGH VISCOSITY MATERIALS</i>	Pending TITAN TOOL, INC.	10/ [REDACTED]	29-Jul-2003	HW
30-Oct-2003 Reminder	MISSING PARTS US-Missing Parts	031035-00059 / United States of America <i>Related Case: 20478</i> <i>Old Client Code: FBR</i> <i>Patent: ALUMINIUM PROCESSING APPARATUS AND PROCESS FOR SEPARATING ALUMINIUM FROM A MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS</i>	Pending F.B. RICE & CO.	10/ [REDACTED]	02-Jul-2003	HW EM
30-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	053735-87549 / United States of America <i>Related Case: 2814</i> <i>Old Client Code: MEIN</i> <i>Patent: METHOD OF PRODUCING A METAL SECTION</i>	Pending MEINKE, DABRINGHAUS UND PARTNER GBR	09/ [REDACTED]	12-Jun-1998	HW

Patent Due Date List By Date

30-Oct-2003 10:00:00 AM

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
30-Oct-2003 Due Date	Application Status Check	100661-00053 / United States of America <i>Related Case: 18628</i> <i>Old Client Code: KLEN</i> <i>Patent: METHOD OF USING COMPUTER DATA TO MODIFY OR ALTER AN EXISTING CAST OR MODEL</i>	Pending MICHAEL KLEIN, D.D.S.	10/000000	30-Apr-2002	HW
30-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	100809-16089 / United States of America <i>Related Case: 16983</i> <i>Old Client Code: SCEI</i> <i>Patent: OPTICAL RECORDING MEDIUM AND ENTERTAINMENT SYSTEM THAT EMPLOYS IT</i>	Pending Sony Computer Entertainment Inc.	09/000000	17-Feb-2000	HW
30-Oct-2003 Final	INVITATION TO CORRECT DEFECTS	310283-00050 / Patent Cooperation Treaty <i>Related Case: 19876</i> <i>Old Client Code: SHPR</i> <i>Patent: MOLDED HEADGEAR</i>	Pending MR. BRYANT SHAPIRO	PCTUS03/25217	13-Aug-2003	HW DD

Sunday, October 26, 2003

Report Selection

RecordCount: 112

- ☒ by Due Date
☐ by Due Date (Calendar)
☐ by Attorney
☐ by Attorney (Via Email)
☐ by Client

Records From
☒ Patent
☐ Trademark
☐ General Matter
☐ All

Report Format
☐ Concise
☒ Comprehensive

Remarks
☐ Print
☒ Do Not Print

Preview before printing ☒

Group by Related Case: ☐

From Date: 01-Oct-2003

To Date: 31-Oct-2003

Client:

Attorney: HW

Action Type:

Action Due:

Indicator:

Country:

Old Client Code:

Related Case:

Status(es):

Status Codes:
☒ Active
☐ Inactive
☐ All

Country Application

Sunday, October 26, 2003

Client-Matter: 031035-00051

Country: US

SubCase:

Client: F.B. RICE & CO.

United States of America

Case Type: PCT

Application Status: Pending

Application Number: 10/

Filing Date: 01-Apr-2002

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: PCTAU00/01192

Parent/PCT Date : 29-Sep-2000

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: FBR

Tax Schedule: LE

Confirmation #:

Related Case: 3318

List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	01-Jun-2002	Due Date	
Information Disclosure Stmt	01-Jul-2002	Due Date	
Missing Requirements/Warn	13-Dec-2002	Reminder	24-Dec-2002
Missing Requirement/Due	13-Jan-2003	Final	24-Dec-2002
Missing Require Follow Up Date	24-Jun-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

User ID: SSEONARA

Date Created: 22-Apr-2002

Last Update: 20-Nov-2002

REDACTED

Country Application

Sunday, October 26, 2003

Client-Matter: 031035-00052	Country: US	SubCase:
Client: F.B. RICE & CO.	United States of America	
Case Type: PCT	Application Status: Pending	
Application Number: 10/089,762	Filing Date: 01-Apr-2002	
Publication Number:	Publication Date:	
Patent Number:	Issue Date:	
Parent/PCT Number: PCTAU00/01191	Parent/PCT Date : 29-Sep-2000	
Parent Issue Number:	Parent Issue Date :	
Agent:	Expiration Date:	
Agent Reference No.:	PTA: 0	
	Tax Schedule: LE	
Client Code: FBR	Confirmation #:	
	Related Case: 3320	

List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	01-Jun-2002	Due Date	
Information Disclosure Stmtnt	01-Jul-2002	Due Date	
Missing Requirements/Warn	21-Jul-2002	Reminder	17-Jul-2002
Missing Requirement/Due	21-Aug-2002	Final	17-Jul-2002
Missing Require Follow Up Date	17-Jan-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

User ID: SSEONARA
Date Created: 15-Jul-2002
Last Update: 15-Jul-2002

Country Application

Sunday, October 26, 2003

Client-Matter: 031035-87549

Client: F.B. RICE & CO.

Case Type: PCT

Application Number: 09 [REDACTED]

Publication Number:

Patent Number:

Parent/PCT Number: PCTAU98/00072

Parent Issue Number:

Agent:

Agent Reference No.:

Client Code: FBR

Country: US

SubCase:

United States of America

Application Status: Pending

Filing Date: 06-Aug-1999

Publication Date:

Issue Date:

Parent/PCT Date : 10-Feb-1998

Parent Issue Date :

Expiration Date:

PTA: 0

Tax Schedule: LE

Confirmation #:

Related Case: 2741

REDACTED

Country Application

Sunday, October 26, 2003

List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	06-Oct-1999	Due Date	
Information Disclosure Stmt	06-Nov-1999	Due Date	
Application Status Check	06-Feb-2001	Due Date	18-Dec-2002
Did You Report O/A To Client	18-Jan-2003	Due Date	18-Jun-2003
1 Month to Due Date	18-Feb-2003	Reminder	18-Jun-2003
3 Month Due Date	18-Mar-2003	Due Date	18-Jun-2003
1st Extension	18-Apr-2003	Reminder	18-Jun-2003
2nd Extension	18-May-2003	Reminder	18-Jun-2003
2 Weeks to Final Date	02-Jun-2003	Due Date	18-Jun-2003
6 Month Final	18-Jun-2003	Final	18-Jun-2003
Application Sta Follow Up Date	18-Jun-2003	Due Date	18-Dec-2002
Did You Report O/A To Client	01-Oct-2003	Due Date	
1 Month to Due Date	26-Oct-2003	Reminder	
3 Month Due Date	26-Nov-2003	Due Date	
PTO must resp	18-Dec-2003	Due Date	26-Aug-2003
1st Extension	26-Dec-2003	Reminder	
2nd Extension	26-Jan-2004	Reminder	
2 Weeks to Final Date	10-Feb-2004	Due Date	
6 Month Final Date	26-Feb-2004	Final	
Notice of Appeal Due	26-Feb-2004	Final	
7th Months-Is Brief Needed	26-Mar-2004	Due Date	

User ID: SSEONARA

Date Created: 23-Dec-2002

Last Update: 23-Dec-2002

Country Application

Sunday, October 26, 2003

Client-Matter: 031035-87577

Country: US

SubCase:

Client: F.B. RICE & CO.

United States of America

Case Type: ORD

Application Status: Pending

Application Number: 10

Filing Date: 04-Dec-2001

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: PR1882

Parent/PCT Date : 04-Dec-2000

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: FBR

Tax Schedule: LE

Confirmation #:

Related Case: 3265

List Of Actions

Action(s) Due	Due Date	Action Taken
FOLLOW UP ON POSTCARD	04-Feb-2002	Due Date
Information Disclosure Stmtnt	04-Mar-2002	Due Date
Application Status Check	04-Jun-2003	Due Date 27-Aug-2003
Did You Report O/A To Client	01-Oct-2003	Due Date
1 Month to Due Date	27-Oct-2003	Reminder
3 Month Due Date	27-Nov-2003	Due Date
1st Extension	27-Dec-2003	Reminder
2nd Extension	27-Jan-2004	Reminder
2 Weeks to Final Date	11-Feb-2004	Due Date
6 Month Final	27-Feb-2004	Final

User ID: SSEONARA

Date Created: 18-Jan-2002

Last Update: 21-Feb-2002

REDACTED

Country Application

Sunday, October 26, 2003

Client-Matter: 053735-87545

Country: US

SubCase:

Client: MEINKE, DABRINGHAUS UND PARTNE United States of America

Case Type: ORD

Application Status: Pending

Application Number: 09 [REDACTED]

Filing Date: 12-Oct-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: [REDACTED]

Parent/PCT Date : 28-Oct-1998

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: MEIN

Tax Schedule: LE

Confirmation #:

Related Case: 2754

REDACTED

Country Application

Sunday, October 26, 2003

List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Apr-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002

Country Application

Tuesday, November 25, 2003

Client-Matter: 031035-87554	Country: US	SubCase:
Client: F.B. RICE & CO.	United States of America	
Case Type: PCT	Application Status: Transferred	
Application Number: 09/720,042	Filing Date: 15-Dec-2000	
Publication Number:	Publication Date:	
Patent Number:	Issue Date:	
Parent/PCT Number: PCTAU99/00486	Parent/PCT Date : 17-Jun-1999	
Parent Issue Number:	Parent Issue Date :	
Agent:	Expiration Date:	
Agent Reference No.:	PTA: 0	
	Tax Schedule: LE	
Client Code: FBR	Confirmation #:	
	Related Case: 3113	

List Of Actions

Action(s) Due	Due Date	Action Taken
FOLLOW UP ON POSTCARD	15-Feb-2001	Due Date
Information Disclosure Stmt	15-Mar-2001	Due Date
Application Status Check	15-Jun-2002	Due Date
7 Days To Due Date	01-Aug-2003	Reminder
PETITION TO REVIVE DUE	08-Aug-2003	Final

User ID: SSEONARA
Date Created: 27-Jun-2003
Last Update: 09-Oct-2003